

DISTRICT AND MUNICIPAL COURTS
OF WASHINGTON STATE

RECORDS MANAGEMENT
GUIDELINES

[http://www.secstate.wa.gov/archives/doc/Records Management Guidelines.doc](http://www.secstate.wa.gov/archives/doc/Records%20Management%20Guidelines.doc)

and

SUPERSEDED
GENERAL RECORDS
RETENTION SCHEDULES

*Approved and Issued by the Washington State Local Records Committee
December 2002*

This publication supersedes any previous versions of this manual. For consistency, this manual includes sections from the Local Government General Records Retention Schedule's that are common to all local governments such as: Accounting, Personnel, Records Management etc. If you have any questions regarding the use of this manual, please contact your Regional Branch Archives at <http://www.secstate.wa.gov/archives/archives.asp> or the Records Management section in Olympia at 360.586.4902

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SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

ADMINISTRATIVE MATERIALS WITH NO RETENTION VALUE

The following general records retention schedule sets minimum retention requirements and provides local government agencies with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to agencies of local government. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for other copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

ADMINISTRATIVE MATERIALS WITH NO RETENTION VALUE - INCLUSIVE

Approved by the Washington State Local Records Committee – Revised July 2001



For the Attorney General: Brian Buccholz



For the State Auditor: George Geyer



The State Archivist: Phillip Coombs

THE FOLLOWING TYPES OF MATERIALS ARE DESIGNATED AS HAVING NO PUBLIC RECORD RETENTION VALUE AND MAY BE DISPOSED OF AS SOON AS THEY HAVE SERVED THEIR PURPOSE:

Series NO.	TITLE AND DESCRIPTION
1	AGENCY PUBLICATIONS Supplies of agency publications, forms, and printed documents that are superseded, outdated, or otherwise valueless, EXCEPT FOR ACCOUNTABLE FORMS THAT MUST BE EXAMINED BY THE STATE AUDITOR'S OFFICE BEFORE BEING DESTROYED. Agency publications may be given away to the public or other agencies rather than being destroyed.
2	CATALOGS, TRADE JOURNALS, VIDEOS, AND OTHER PRINTED OR PUBLISHED MATERIALS Received from other offices, commercial firms, or private institutions, which require no action and are not needed for documentary purposes.
3	INFORMATIONAL COPIES Electrostatic, photocopy, magnetic, or other type of copy of correspondence, completed forms, bulletins, etc., prepared for reference and informational distribution.
4	LETTERS OF TRANSMITTAL Letters of transmittal, which do not add any information to the transmitted materials.
5	MISCELLANEOUS MEMORANDA Miscellaneous notices or memoranda which do not relate to the functional responsibility of the agency, e.g., notices of community affairs, employees meetings, holidays, etc.
6	PRELIMINARY DRAFTS Preliminary drafts of letters, memoranda, reports, worksheets, and informal notes, which do not represent significant basic steps in the preparation of record documents.
7	REPRODUCTION MATERIALS Includes materials such as stencils, hectograph masters and offset plates.
8	ROUTING SLIPS Routing slips used to direct the distribution of documents.
9	SHORTHAND NOTES, STENOTYPE TAPES, WORD PROCESSING DISKS, AND MECHANICAL RECORDINGS After they have been transcribed into typewritten or printed form on paper or microfilm.
10	TELEPHONE MESSAGES "While you were away" slips, check slips, or similar forms used to convey non-policy informational messages. Materials containing personal information should be disposed of in a secure manner.
11	USED / CANCELLED EVENT TICKETS AND PASSES

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

ADMINISTRATIVE RECORDS COMMON TO ALL AGENCY WORK UNITS

The following general records retention schedule sets minimum retention requirements and provides local government agencies with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to agencies of local government. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys ([see Documenting Records Destruction, page 13](#)). An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, the retention period for the other agency/office's copy will be highlighted in these schedules.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

- **Official Public Records (OPR)** are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.
- **Potential Archival Value** – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.
- **Essential Record** – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

ADMINISTRATIVE RECORDS COMMON TO ALL AGENCY WORK UNITS - INCLUSIVE

Approved as revised by the Washington State Local Records Committee – January 2002



For the Attorney General: Brian Buccholz



For the State Auditor: George Geyer



The State Archivist: Jerry Handfield

Schedule Applicable to: ALL LOCAL GOVERNMENT AGENCIES

Schedule Title: ADMINISTRATIVE RECORDS COMMON TO ALL AGENCY WORK UNITS

DISPOSITION AUTHORITY GS 50-01

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	ADMINISTRATIVE PROCEDURES AND INSTRUCTIONS	OFM	Destroy when obsolete or superseded	Destroy when obsolete or superseded	GS50-01-01	
2	ADMINISTRATIVE WORKING FILES Subject files containing informational copies of various records organized by issue, person, subject, or other areas of interest.	OFM	Destroy when obsolete or superseded - elected official, executive and department head files are potentially archival - See remarks	Destroy when obsolete or superseded	GS50-01-02	Contact your Regional Archivist before disposing of elected official, executive, or department head files. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
3	ANNUAL REPORTS – OFFICE REFERENCE COPIES Copies of reports of activities submitted yearly to the governing council, commission, or board.	OFM	Clerk of governing council, commission, or board keeps primary copy PERMANENT - 1 copy archival - See remarks	Office references copies - Destroy when obsolete or superseded	GS50-05A-04	Contact your Regional Archivist. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
4	APPLICATION FOR EMPLOYMENT WHEN APPLICANT IS NOT HIRED – OFFICE REFERENCE COPIES Copies of employment applications submitted by applicants who were not hired .	OFM	Personnel office keeps primary copy 3 years	Office references copies - Destroy when obsolete or superseded	GS50-04B-01	
5	APPOINTMENT CALENDARS	OFM	Destroy when obsolete or superseded – See remarks	Destroy when obsolete or superseded	GS50-01-36	Elected officials and department heads may want to retain appointment calendars to document activities and appointments for a longer period of time.
6	BIOGRAPHICAL FILES ON AGENCY OFFICIALS AND STAFF – OFFICE REFERENCE COPIES	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Office references copies - Destroy when obsolete or superseded	GS50-06F-01	Contact your Regional Archivist before disposing of the primary copy of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
7	BUDGET AND BUDGET DEVELOPMENT FILES – OFFICE REFERENCE COPIES The final budgets are retained permanently as reference attachments to the ordinances or resolutions that adopt them.	OFM	Final budget is kept by clerk of governing council, commission, or board PERMANENT Budget development and request files are retained 2 years by the finance or budget office	Office references copies - Destroy when obsolete or superseded	GS50-03D-03	
8	CENSUS RECORDS FROM THE STATE OFFICE OF FINANCIAL MANAGEMENT	OFM	The State Office of Financial Management keeps primary copy PERMANENT	Destroy when obsolete or superseded - Potential archival value - See remarks	GS50-01-37	Contact your Regional Archivist before disposing of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.

SUPERSEDED

Schedule Applicable to: ALL LOCAL GOVERNMENT AGENCIES

Schedule Title: ADMINISTRATIVE RECORDS COMMON TO ALL AGENCY WORK UNITS

DISPOSITION AUTHORITY GS 50-01

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
9	CHRONOLOGICAL REFERENCE FILE Also known as reading or day file. Used as a chronological reference source supplemental to correspondence and subject reference files.	OFM	Destroy when obsolete or superseded	Destroy when obsolete or superseded	GS50-01-08	
10	CITIZENS' COMPLAINTS/REQUESTS	OFM	3 years	Destroy when obsolete or superseded	GS50-01-09	
11	CLAIMS FOR DAMAGES	OPR	Settlement plus 6 years	Destroy when obsolete or superseded	GS50-01-10	
12	CONTRACTS, AGREEMENTS, AND WARRANTIES	OPR	Termination plus 6 years	Destroy when obsolete or superseded	GS50-01-11	
13	CORRESPONDENCE Letters and attached materials sent and received during the course of agency business.	OFM	2 years - elected official, executive, and department head files are potentially archival - See remarks	Destroy when obsolete or superseded	GS50-01-12	Contact your Regional Archivist before disposing of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
14	FINANCIAL REPORTS – OFFICE REFERENCE COPIES Copies of financial reports made to and/or by the department regarding its, expenditures and the status of its budget.	OFM	3 years or until completion of State Auditor's examination report	Office references copies - Destroy when obsolete or superseded	GS50-3D-06	
15	FISCAL, PURCHASE AND RECEIVING DOCUMENTS	OFM	Finance or Purchasing Office keeps primary copies 6 years	Destroy when obsolete or superseded	GS50-01-17	
16	GOVERNING COUNCIL, COMMISSION, AND BOARD MEETING AGENDAS/PACKETS – OFFICE REFERENCE COPIES	OFM	Clerk of governing council, commission or board keeps primary copy 3 years	Office references copies - Destroy when obsolete or superseded	GS50-05A-03	
17	GOVERNING COUNCIL, COMMISSION, COMMITTEE, AND BOARD MINUTES – OFFICE REFERENCE COPIES	OFM	Clerk of governing council, commission or board keeps primary copy PERMANENT	Office references copies - Destroy when obsolete or superseded	GS50-05A-13	
18	HISTORICAL FILES OF THE AGENCY – OFFICE REFERENCE COPIES	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Office references copies - Destroy when obsolete or superseded	GS50-06F-02	Contact your Regional Archivist before disposing of the primary copy of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
19	LEGAL OPINIONS – OFFICE REFERENCE COPIES	OFM	Agency attorney keeps primary copy PERMANENT - See remarks	Office references copies - Destroy when obsolete or superseded	GS53-02-03	If the agency contracts for outside legal representation, the primary record copy will probably be held by agency's executive administration.

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Schedule Title: ADMINISTRATIVE RECORDS COMMON TO ALL AGENCY WORK UNITS

DISPOSITION AUTHORITY GS 50-01

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
20	LITIGATION FILES – OFFICE REFERENCE COPIES	OFM	Legal office or executive administration keeps until case closed plus 10 years - Potential archival value - See remarks	Office references copies - Destroy when obsolete or superseded	GS53-02-04	Primary copies of official court documents are held permanently by the Clerk of Superior Court, or 10 years by the District or Municipal Court Administrator. Contact your Regional Archivist before disposing of the primary copy of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
21	MAILING LISTS	OFM	Destroy when obsolete or superseded	Destroy when obsolete or superseded	GS50-01-21	
22	MASTER FILE OF AGENCY PUBLICATIONS – OFFICE REFERENCE COPIES	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Office references copies - Destroy when obsolete or superseded	GS50-06F-04	Contact your Regional Archivist before disposing of the primary copy of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
23	NEWSPAPER CLIPPINGS – OFFICE REFERENCE COPIES	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Office references copies - Destroy when obsolete or superseded	GS50-06F-05	Contact your Regional Archivist before disposing of the primary copy of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
24	OFFICIAL AGENCY POLICY AND PROCEDURE DIRECTIVES, REGULATIONS, AND RULES ESSENTIAL RECORD - Needs security microfilm backup - See remarks . (See item #1 for administrative procedures and instructions).	OPR	PERMANENT as adopted - One copy archival - See remarks	Destroy when obsolete or superseded	GS50-01-24	Contact your Regional Archivist before destroying the original record. The information in this records series should be protected from loss or damage by offsite storage of a security microfilm backup at State Archives. Security microfilm must meet Washington State Archives technical standards.
25	ORDINANCE AND RESOLUTION DEVELOPMENT FILES Documentation of the analysis and development of ordinances and/or resolutions submitted for the approval of the agency's governing council, commission or board.	OFM	3 years - Potential archival value - See remarks	Destroy when obsolete or superseded	GS50-01-25	Contact your Regional Archivist before disposing of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
26	ORDINANCES AND RESOLUTIONS – OFFICE REFERENCE COPIES	OFM	Clerk of the governing council, commission, committee, or board keeps primary copy PERMANENT	Office references copies - Destroy when obsolete or superseded	GS50-05A-16	

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Schedule Applicable to: ALL LOCAL GOVERNMENT AGENCIES

Schedule Title: ADMINISTRATIVE RECORDS COMMON TO ALL AGENCY WORK UNITS

DISPOSITION AUTHORITY GS 50-01

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
27	PAYROLL REPORTS – OFFICE REFERENCE COPIES	OFM	Payroll office keeps primary copy- 3 years, OR 60 years if needed for retirement audit	Office references copies - Destroy when obsolete or superseded	GS50-03E-25	
28	PERSONNEL FILES – OFFICE REFERENCE COPIES	OFM	Personnel office keeps primary copy until termination of employment plus 6 years	Office references copies - Destroy when obsolete or superseded	GS50-04B-06	
29	PHOTOGRAPHS AND OTHER AUDIO VISUAL MATERIAL – OFFICE REFERENCE COPIES Photographic negatives may be held in the Regional Archives for permanent preservation and security backup - See remarks.	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Office references copies - Destroy when obsolete or superseded	GS50-06F-06	Contact your Regional Archivist before disposing of this record or to discuss transfer of negatives for permanent preservation and security backup.
30	PRESS RELEASES – OFFICE REFERENCE COPIES	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Office references copies - Destroy when obsolete or superseded	GS50-06F-07	Contact your Regional Archivist before disposing of the primary copy of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
31	PUBLIC OPINION POLLS	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Destroy when obsolete or superseded	GS50-01-30	Contact your Regional Archivist before disposing of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
32	RECEIPTS FOR CASH RECEIVED – OFFICE REFERENCE COPIES	OFM	Finance office keeps primary copy 6 years	Office references copies - Keep 3 years	GS50-03A-21	
33	REQUESTS FOR LEAVE/OVERTIME	OFM	3 years	Destroy when obsolete or superseded	GS50-04B-09	
34	RESEARCH/PROGRAM REPORTS, STUDIES, SURVEYS, MODELS, AND ANALYSES	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Destroy when obsolete or superseded	GS50-01-32	Contact your Regional Archivist before disposing of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
35	SCRAPBOOKS AND ALBUMS	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Destroy when obsolete or superseded	GS50-06F-08	Contact your Regional Archivist before disposing of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.
36	SPEECHES BY OFFICIALS AND STAFF REPRESENTING THE AGENCY – OFFICE REFERENCE COPIES	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Office references copies - Destroy when obsolete or superseded	GS50-06F-09	Contact your Regional Archivist before disposing of the primary copy of this record. Records from this series may be selected for transfer to and preservation at a Regional Archives branch.

SUPERSEDED

Schedule Title: ADMINISTRATIVE RECORDS COMMON TO ALL AGENCY WORK UNITS

DISPOSITION AUTHORITY GS 50-01

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
37	SUPERVISOR'S EMPLOYEE PERFORMANCE BACKGROUND FILES	OFM	Destroy when obsolete or superseded	Destroy when obsolete or superseded	GS50-04B-30	
38	TELEPHONE LOGS AND USAGE DETAIL REPORTS	OFM	3 years	Destroy when obsolete or superseded	GS50-01-35	
39	WORK PLANS Plan of an agency's actions for the coming year. Documents agency, section, or program timelines and areas of responsibility to specific actions. <i>(New series - January 2002)</i>	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Destroy when obsolete or superseded	GS50-01-38	

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

COURT RECORDS – CIVIL *(Revised September 2002)*

The following general records retention schedule sets minimum retention requirements and provides district and municipal courts with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to district and municipal courts. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for secondary copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

COURT RECORDS - CIVIL - INCLUSIVE

Approved as revised by the Washington State Local Records Committee – September 2002


For the Attorney General: Brian Buchholz


For the State Auditor: Mark Rapozo


For the State Archivist: D. Rae Bradrick

Schedule Applicable to: ALL DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - CIVIL

DISPOSITION AUTHORITY GS52-06C

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	CIVIL CASE FILES The case file may contain most or some of the following forms: Affidavit of Service and Notice of Suit, Motion and Order for Default, Affidavit for Garnishment, Order to Pay into Court/Garnishment, Order Discharging Garnished Defendant, Writ of Attachment, Executions, Abstract of Damages (for Motor Vehicle Accident). ESSENTIAL RECORD -- See remarks.	OPR	Judgment Unsatisfied: 10 years after date of judgment - See remarks Case Dismissed or Judgment Satisfied: 3 years	Destroy when obsolete or superseded	GS52-06C-02	Reference RCW 40.16.020(2). Liability for satisfaction of an unsatisfied case may be extended another ten years upon application by the plaintiff within 90 days of expiration of the initial 10 year period. Reference RCW 6.17.020(3). Electronic records need security backup. Electronic records should be protected from damage or loss by off site storage of backup tapes or diskettes.
2	CIVIL DOCKETS	OPR	10 years after final disposition - potential archival value - See remarks	Destroy when obsolete or superseded	GS52-06C-01	Reference RCW 40.16.020(2). Contact your Regional Archivist before destroying dockets covering periods prior to 1940.
3	CIVIL INDEXES ESSENTIAL RECORD - See remarks	OFM	10 years after final disposition of last item on index- potential archival value - See remarks	Destroy when obsolete or superseded	GS52-06C-07	Reference RCW 40.16.020(2) Contact your Regional Archivist before destroying indexes covering periods prior to 1940. Electronic records need security backup. Electronic records should be protected from damage or loss by off site storage of backup tapes or diskettes.
4	EXHIBITS-CASES APPEALED	OPR	30 days after remand of appeal - See remarks	Destroy when obsolete or superseded	GS52-06C-06	Upon completion of appellate court action, the exhibits are to be returned to the courts of limited jurisdiction where they originated.
5	EXHIBITS-CASES NOT APPEALED	OPR	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06C-08	
6	INVOLUNTARY COMMITMENT ORDERS (a.k.a. 72 HOUR HOLDS) Orders to hold a person for possible substance abuse or mental problems. If charges are filed, the order becomes part of the case file. (New series - September 2002)	OFM	3 years after release from hold	Destroy when obsolete or superseded	GS52-06C-09	
7	TAPE RECORDING LOG Chronological listing of tape recordings of court proceedings.	OFM	Erasure of last tape entered on log	Destroy when obsolete or superseded	GS52-06C-05	
8	TAPE RECORDINGS OF COURT PROCEEDINGS - CASES APPEALED To be used by appellate court on appeals of District and Municipal Court actions.	OPR	30 days after remand of appeal - See remarks	Superior Court has secondary copy	GS52-06C-03	Upon completion of Superior Court action, the tapes are to be returned to the courts of limited jurisdiction where they originated.

SUPERSEDED

Schedule Title: COURT RECORDS - CIVIL

DISPOSITION AUTHORITY GS52-06C

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
9	TAPE RECORDINGS OF COURT PROCEEDINGS – CASES NOT APPEALED	OFM	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06C-04	

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

COURT RECORDS - CIVIL INFRACTIONS

The following general records retention schedule sets minimum retention requirements and provides district and municipal courts with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to district and municipal courts. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for secondary copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

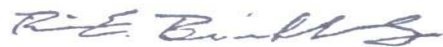
Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

COURT RECORDS - CIVIL INFRACTIONS - INCLUSIVE

Approved by the Washington State Local Records Committee – May 2000



For the Attorney General: Brian Buccholz



For the State Auditor: Cliff Whipple



For the State Archivist: David Owens

Schedule Applicable to: DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - CIVIL INFRACTIONS

DISPOSITION AUTHORITY GS52-06B

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	EXHIBITS-CASES APPEALED	OPR	30 days after remand of appeal - See remarks	Destroy when obsolete or superseded	GS52-06B-07	Upon completion of Superior Court action, the exhibits are to be returned to the courts of limited jurisdiction where they originated.
2	EXHIBITS-CASES NOT APPEALED	OPR	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06B-08	
3	NOTICE OF FAILURE TO RESPOND, APPEAR, OR PAY ESSENTIAL RECORD – See remarks	OFM	3 years after final disposition - See remarks	Destroy when obsolete or superseded	GS52-06B-02	Department of Licensing holds official copy. Electronic records need security backup. Electronic records should be protected from damage or loss by off site storage of backup tapes or diskettes.
4	TAPE RECORDING LOG Chronological listing of tape recordings of court proceedings.	OFM	Erasure of last tape entered on log	Destroy when obsolete or superseded	GS52-06B-05	
5	TAPE RECORDINGS OF COURT PROCEEDINGS – CASES APPEALED To be used by Superior Court on appeals of District and Municipal Court actions. Upon completion of Superior Court action, the tapes are to be returned to the court of limited jurisdiction where they originated.	OPR	30 days after remand of appeal	Destroy when obsolete or superseded	GS52-06B-03	Upon completion of Superior Court action , tapes are to be returned to the courts of limited jurisdiction where they originated.
6	TAPE RECORDINGS OF COURT PROCEEDINGS – CASES NOT APPEALED	OFM	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06B-04	
7	TRAFFIC INFRACTION INDEXES	OFM	3 years after final disposition of the last case listed	Destroy when obsolete or superseded	GS52-06B-06	
8	UNIFORM NOTICE OF INFRACTION, COURT DOCKET AND CASE FILE Completed notice of infraction form, which serves as court docket and official documentation of court action.	OPR	3 years after final disposition	Destroy when obsolete or superseded	GS52-06B-01	

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

COURT RECORDS – CRIMINAL *(Added clarification 12/2002)*

The following general records retention schedule sets minimum retention requirements and provides district and municipal courts with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to district and municipal courts. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for secondary copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

COURT RECORDS - CRIMINAL - INCLUSIVE

Approved by the Washington State Local Records Committee – May 2000



For the Attorney General: Brian Buccholz



For the State Auditor: Cliff Whipple



For the State Archivist: David Owens

Schedule Applicable to: DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - CRIMINAL

DISPOSITION AUTHORITY GS52-06A

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	CRIMINAL INDEXES COVERING JUDGEMENT AND SENTENCING RECORDS FILED JUNE 4, 1997 AND LATER ESSENTIAL RECORD –See remarks	OFM	PERMANENT For courts on DISCIS: DISCIS holds the permanent record For courts <u>not</u> on DISCIS: The court holds the permanent record* See remarks	Destroy when obsolete or superseded	GS52-06A-12	NEEDS PERMANENT OFF-SITE BACKUP. The information in this records series should be protected from loss or damage by storage of a security microfilm backup at the State Archives. Security microfilm must meet State Archives standards.
2	CRIMINAL INDEXES COVERING JUDGEMENT AND SENTENCING RECORDS FILED PRIOR TO JUNE 4, 1997 ESSENTIAL RECORD –See remarks	OFM	3 years after disposition of last item on index- potential archival value - See remarks	Destroy when obsolete or superseded	GS52-06A-07	Contact your Regional Archivist before destroying indexes covering periods prior to 1940.
3	EXHIBITS-CASES APPEALED	OPR	30 days after remand of appeal - See remarks	Destroy when obsolete or superseded	GS52-06A-10	Upon completion of appellate court action, the exhibits are to be returned to the courts of limited jurisdiction where they originated.
4	EXHIBITS-CASES NOT APPEALED	OPR	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06A-11	
5	JUDGMENT AND SENTENCING RECORDS OF THE COURTS FILED JUNE 4, 1997 AND LATER A record of the sentencing proceedings shall be made. The judgment and sentencing records shall be preserved in perpetuity. CrRLJ7.2 ESSENTIAL RECORD - See remarks. <u>NOTED 12/2002: Includes DUI records (Judgments & Sentence records are maintained on DISCIS, Dept of Licensing maintains an official copy) DUI ref. RCW 40.52.101</u>	OPR	PERMANENT For courts on DISCIS: DISCIS holds the permanent record For courts <u>not</u> on DISCIS: The court holds the permanent record* See remarks	Destroy when obsolete or superseded	GS52-06A-08	Reference Court Rules - CrRLJ 7.2 NEEDS PERMANENT OFF-SITE BACKUP. The information in this records series should be protected from loss or damage by storage of a security microfilm backup at the State Archives. Security microfilm must meet State Archives standards. *Contact your Regional Archivist for information on cost effective methods for retaining and protecting the security of permanent records.

SUPERSEDED

Schedule Applicable to: DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - CRIMINAL

DISPOSITION AUTHORITY GS52-06A

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
6	JUDGMENT AND SENTENCING RECORDS OF THE COURTS FILED PRIOR TO JUNE 4, 1997 A record of the sentencing proceedings shall be made. The judgment and sentencing records shall be preserved in perpetuity. CrRLJ7.2 ESSENTIAL RECORD - See remarks.	OPR	Retain with Criminal Case Files 3 years after final disposition (see Item 12) - potential archival value - see remarks	Destroy when obsolete or superseded	GS52-06A-01	Reference Court Rules - CrRLJ 7.2
7	PETITION AND ORDER DEFERRED PROSECUTION Includes docket and case files. ESSENTIAL RECORD - See remarks	OFM	3 years after case is closed	Destroy when obsolete or superseded	GS52-06A-03	Electronic record needs security backup - Electronic records should be protected from damage or loss by off site storage of backup tapes or diskettes.
8	SEARCH WARRANTS Authorization for search of private property by a local law enforcement agency. Includes search warrants, affidavits, and returns of search.	OFM	2 years after expiration of warrant	Destroy when obsolete or superseded	GS52-06A-02	
9	TAPE RECORDING LOG Chronological listing of tape recordings of court proceedings.	OFM	Erasure of last tape entered on log	Destroy when obsolete or superseded	GS52-06A-06	Reference Court Rules - RALJ 2.5A, RALJ 5.1A, RAP 5.2.
10	TAPE RECORDINGS OF COURT PROCEEDINGS - CASES APPEALED To be used by the superior courts on appeals of district and municipal court actions. - See remarks	OPR	30 days after remand of appeal	Destroy when obsolete or superseded	GS52-06A-04	Upon completion of appellate court action, the tapes are to be returned to the court of limited jurisdiction where they originated.
11	TAPE RECORDINGS OF COURT PROCEEDINGS - CASES NOT APPEALED	OFM	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06A-05	Reference Court Rules - RALJ 2.5A, RALJ 5.1A, RAP 5.2.
12	UNIFORM CITATION, COMPLAINT, COURT DOCKET AND CASE FILES Completed criminal citation form, which serves as statement of citation, summons, and court docket, and official documentation of court action. Case related documents kept by the court showing motions, orders, judgments, no-contact orders, and other filings. May include warrants, notices of appearance, notices of failure to appear, 60-day rules of waiver, notices of appeal, summonses, subpoenas, and other documents.	OPR	3 years after final disposition - potential archival value - see remarks	Destroy when obsolete or superseded	GS52-06A-01	Contact your Regional Archivist before destroying dockets covering periods prior to 1940.
13	WIRETAPS AND RECORDINGS APPLICATIONS AND AUTHORIZATIONS Applications, orders and authorizations issued under RCW 9.73.	OPR	2 years after expiration of authorization	Destroy when obsolete or superseded	GS52-06A-09	

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

COURT RECORDS - DOMESTIC VIOLENCE AND ANTI-HARRASSMENT

The following general records retention schedule sets minimum retention requirements and provides district and municipal courts with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to district and municipal courts. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for secondary copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

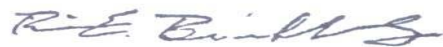
Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

COURT RECORDS - DOMESTIC VIOLENCE AND ANTI-HARRASSMENT - INCLUSIVE

Approved by the Washington State Local Records Committee – May 2000



For the Attorney General: Brian Buccholz



For the State Auditor: Cliff Whipple



For the State Archivist: David Owens

Schedule Applicable to: DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - DOMESTIC VIOLENCE AND ANTI-HARRASSMENT

DISPOSITION AUTHORITY GS52-06E

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	DOMESTIC VIOLENCE AND ANTI-HARRASSMENT CASE FILES FOR WHICH PERMANENT ORDERS HAVE BEEN GRANTED May include Petition for Protection Order or Protection Order. ESSENTIAL RECORD- See remarks.	OPR	All cases in which a permanent order has been granted: PERMANENT	Destroy when obsolete or superseded	GS52-06E-02	NEEDS PERMANENT OFF-SITE BACKUP. The information in this records series should be protected from loss or damage by storage of a security microfilm backup at the State Archives. Security microfilm must meet State Archives standards.
2	DOMESTIC VIOLENCE AND ANTI-HARRASSMENT CASE FILES FOR WHICH PERMANENT ORDERS HAVE <u>NOT</u> BEEN GRANTED May include Petition for Protection Order.	OPR	3 years after final disposition	Destroy when obsolete or superseded	GS52-06E-06	
3	DOMESTIC VIOLENCE AND ANTI-HARRASSMENT DOCKETS	OPR	3 years after final disposition	Destroy when obsolete or superseded	GS52-06E-01	
4	DOMESTIC VIOLENCE AND ANTI-HARRASSMENT INDEXES OF CASE FILES FOR WHICH PERMANENT ORDERS HAVE BEEN GRANTED	OFM	PERMANENT For courts <u>on</u> DISCIS: DISCIS holds the permanent record For courts <u>not</u> on DISCIS: The court holds the permanent record* See remarks	Destroy when obsolete or superseded	GS52-06E-05	NEEDS PERMANENT OFF-SITE BACKUP. The information in this records series should be protected from loss or damage by storage of a security microfilm backup at the State Archives. Security microfilm must meet State Archives standards.
5	DOMESTIC VIOLENCE AND ANTI-HARRASSMENT INDEXES OF CASE FILES FOR WHICH PERMANENT ORDERS HAVE <u>NOT</u> BEEN GRANTED	OFM	3 years after final disposition of last item on index	Destroy when obsolete or superseded	GS52-06E-10	

SUPERSEDED

Schedule Applicable to: DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - DOMESTIC VIOLENCE AND ANTI-HARRASSMENT

DISPOSITION AUTHORITY GS52-06E

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
6	EXHIBITS-CASES APPEALED	OPR	30 days after remand of appeal - See remarks	Destroy when obsolete or superseded	GS52-06E-07	NEEDS PERMANENT OFF-SITE BACKUP. The information in this records series should be protected from loss or damage by storage of a security microfilm backup at the State Archives. Security microfilm must meet State Archives standards. Upon completion of appellate court action, the exhibits are to be returned to the courts of limited jurisdiction where they originated.
7	EXHIBITS-CASES NOT APPEALED	OPR	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06E-08	
8	TAPE RECORDING LOG Chronological listing of tape recordings of court proceedings.	OFM	Erasure of last tape entered on log	Destroy when obsolete or superseded	GS52-06E-04	
9	TAPE RECORDINGS OF COURT PROCEEDINGS – CASES APPEALED To be used by appellate court on appeals of District and Municipal Court actions.	OPR	30 days after remand of appeal - See remarks	Superior Court	GS52-06E-03	Upon completion of appellate court action, the tapes are to be returned to the courts of limited jurisdiction where they originated.
10	TAPE RECORDINGS OF COURT PROCEEDINGS – CASES NOT APPEALED	OFM	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06E-09	

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

COURT RECORDS - GENERAL

The following general records retention schedule sets minimum retention requirements and provides district and municipal courts with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to district and municipal courts. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for secondary copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

COURT RECORDS - GENERAL - INCLUSIVE

Approved by the Washington State Local Records Committee – May 2000



For the Attorney General: Brian Buccholz



For the State Auditor: Cliff Whipple



For the State Archivist: David Owens

Schedule Applicable to: DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - GENERAL

DISPOSITION AUTHORITY GS52-06G

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	BOOKING SHEETS Reference copies of booking sheets covering defendants incarcerated during criminal proceedings. This information is used to set the Court Calendar.	OFM	1 year	Destroy when obsolete or superseded	GS52-06G-08	Official copy held by the issuing jail.
2	BREATHALYZER TECHNICIAN CERTIFICATES	OFM	3 years - See remarks	Destroy when obsolete or superseded	GS52-06G-06	Official copy held by Washington State Patrol
3	CLERK'S MINUTES BOOK Also known as Clerk's Notes.	OFM	1 year	Destroy when obsolete or superseded	GS52-06G-03	
4	COURT CALENDAR	OFM	1 year	Destroy when obsolete or superseded	GS52-06G-01	
5	LISTS OF PRISONERS TO BE TRANSPORTED FROM THE COUNTY JAIL FOR COURT HEARINGS	OFM	1 year	Destroy when obsolete or superseded	GS52-06G-09	
6	LOG BOOKS Index for tapes of court proceedings.	OFM	3 years	Destroy when obsolete or superseded	GS52-06G-02	
7	PROCEDURAL MANUALS AND MATERIALS	OFM	Destroy when obsolete or superseded - See remarks	Destroy when obsolete or superseded	GS52-06G-05	Official copy held by the Office of the Administrator for the Courts.
8	REPORT TO THE ADMINISTRATOR FOR THE COURTS	OFM	Destroy when obsolete or superseded - Potential archival value - See remarks	Destroy when obsolete or superseded	GS52-06G-04	Contact your Regional Archivist before disposing of this record. Administrator for the Courts holds this record for 1 year.
9	SPEED VERIFICATION CERTIFICATES Certificate of verification of speed device calibration accuracy filed by radar technician.	OFM	Destroy when obsolete or superseded - See remarks	Destroy when obsolete or superseded	GS52-06G-07	Reference CrRLJ 6.6. Official copy held by Washington State Patrol.

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

COURT RECORDS - JURY

The following general records retention schedule sets minimum retention requirements and provides district and municipal courts with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to district and municipal courts. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for secondary copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

COURT RECORDS - JURY - INCLUSIVE

Approved by the Washington State Local Records Committee – May 2000



For the Attorney General: Brian Buccholz



For the State Auditor: Cliff Whipple



For the State Archivist: David Owens

Schedule Applicable to: DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - JURY

DISPOSITION AUTHORITY GS52-06F

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	JUROR QUESTIONNAIRES Filled out by juror – confidential information filed with court.	OPR	Expiration of juror term	Destroy when obsolete or superseded	GS52-06F-05	
2	JUROR REGISTRATION OR SIGN-IN SHEET Supporting documentation to Jury Cost Bills.	OFM	1 year	Destroy when obsolete or superseded	GS52-06F-06	
3	JUROR SUMMONS	OPR	Expiration of juror term	Destroy when obsolete or superseded	GS52-06F-04	
4	JURY COST BILLS Documentation of juror payment.	OFM	1 year – See remarks	Destroy when obsolete or superseded	GS52-06F-03	Official copy held by County Auditor or City Finance Office.
5	JURY LISTS Master list of voters selected to serve as jurors for a specific term.	OFM	Expiration of juror term	Destroy when obsolete or superseded	GS52-06F-01	
6	WAIVER FROM JURY DUTY	OFM	Expiration of juror term	Destroy when obsolete or superseded	GS52-06F-02	

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

COURT RECORDS - PARKING INFRACTIONS

The following general records retention schedule sets minimum retention requirements and provides district and municipal courts with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to district and municipal courts. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for secondary copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

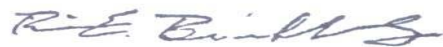
Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

COURT RECORDS - PARKING INFRACTIONS - INCLUSIVE

Approved by the Washington State Local Records Committee – May 2000



For the Attorney General: Brian Buccholz



For the State Auditor: Cliff Whipple



For the State Archivist: David Owens

Schedule Applicable to: DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - PARKING INFRACTIONS

DISPOSITION AUTHORITY GS52-06F

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	EXHIBITS-CASES APPEALED	OPR	30 days after remand of appeal - See remarks	Destroy when obsolete or superseded	GS52-06J-07	Upon completion of appellate court action, the exhibits are to be returned to the courts of limited jurisdiction where they originated.
2	EXHIBITS-CASES NOT APPEALED	OPR	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06J-08	
3	PARKING DELINQUENT NOTICES OR VEHICLE HOLDS ESSENTIAL RECORD - See remarks	OPR	3 years after final disposition, or after audit, whichever is later	Destroy when obsolete or superseded	GS52-06J-02	Electronic records need security backup. Electronic records should be protected from damage or loss by off site storage of backup tapes or diskettes.
4	PARKING INFRACTION INDEXES	OFM	3 years after disposition of last item on Index	Destroy when obsolete or superseded	GS52-06J-06	
5	TAPE RECORDING LOG	OFM	Erasure of last tape entered on log	Destroy when obsolete or superseded	GS52-06J-05	
6	TAPE RECORDINGS OF COURT PROCEEDINGS – CASES APPEALED To be used by appellate court on appeals of District and Municipal Court actions. - See remarks.	OPR	30 days after remand of appeal	Destroy when obsolete or superseded	GS52-06J-03	Upon completion of appellate court action, tapes are to be returned to the courts of limited jurisdiction where they originated.
7	TAPE RECORDINGS OF COURT PROCEEDINGS – CASES NOT APPEALED	OFM	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06J-04	
8	UNIFORM NOTICE OF INFRACTION, COURT DOCKET, AND CASE FILE Completed notice of infraction form, which serves as court docket and official documentation of court action.	OPR	3 years after final disposition	Destroy when obsolete or superseded	GS52-06J-01	

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

COURT RECORDS - SMALL CLAIMS

The following general records retention schedule sets minimum retention requirements and provides district and municipal courts with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to district and municipal courts. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for secondary copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

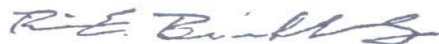
Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

COURT RECORDS - SMALL CLAIMS - INCLUSIVE

Approved by the Washington State Local Records Committee – May 2000



For the Attorney General: Brian Buccholz



For the State Auditor: Cliff Whipple



For the State Archivist: David Owens

Schedule Applicable to: DISTRICT AND MUNICIPAL COURTS

Schedule Title: COURT RECORDS - SMALL CLAIMS

DISPOSITION AUTHORITY GS52-06D

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	EXHIBITS-CASES APPEALED	OPR	30 days after remand of appeal - See remarks	Destroy when obsolete or superseded	GS52-06D-04	Upon completion of appellate court action, the exhibits are to be returned to the courts of limited jurisdiction where they originated.
2	EXHIBITS-CASES NOT APPEALED	OPR	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06D-05	
3	SMALL CLAIMS CASE FILES (If not satisfied within 30 days after settlement of case, may be transferred to Civil Department). May include: Notice of Claim, Affidavit/Proof of Service, and Abstract of Damages (for motor vehicle accidents). ESSENTIAL RECORD -See remarks.	OPR	Judgment Unsatisfied: 10 years after date of judgment - See remarks Case Dismissed or Judgment Satisfied: 3 years	Destroy when obsolete or superseded	GS52-06D-02	Reference RCW 40.16.020(2). Liability for satisfaction of an unsatisfied case may be extended another ten years upon application by the plaintiff within 90 days of expiration of the initial 10 year period. Reference RCW 6.17.020(3). Electronic records need security backup. Electronic records should be protected from damage or loss by off site storage of backup tapes or diskettes.
4	SMALL CLAIMS DOCKET	OPR	10 years after final disposition	Destroy when obsolete or superseded	GS52-06D-01	
5	SMALL CLAIMS INDEX ESSENTIAL RECORD - See remarks.	OFM	10 years after final disposition of last item on index	Destroy when obsolete or superseded	GS52-06D-03	Electronic records need security backup. Electronic records should be protected from damage or loss by off site storage of backup tapes or diskettes.
6	TAPE RECORDING LOG Chronological listing of tape recordings of court proceedings.	OFM	Erasure of last tape entered on log	Destroy when obsolete or superseded	GS52-06D-06	
7	TAPE RECORDINGS OF COURT PROCEEDINGS – CASES APPEALED To be used by appellate court on appeals of District and Municipal Court actions.	OPR	30 days after remand of appeal - See remarks	Superior Court	GS52-06D-07	Upon completion of appellate court action, the tapes are to be returned to the courts of limited jurisdiction where they originated.
8	TAPE RECORDINGS OF COURT PROCEEDINGS – CASES NOT APPEALED	OFM	Expiration of appeal period	Destroy when obsolete or superseded	GS52-06D-08	

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

ELECTRONIC INFORMATION

The following general records retention schedule sets minimum retention requirements and provides local government agencies with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to agencies of local government. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys (*see Documenting Records Destruction, page 13*). An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, the retention period for the other agency/office's copy will be highlighted in these schedules.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

Official Public Records (OPR) are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.

Potential Archival Value – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.

Essential Record – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

ELECTRONIC INFORMATION - INCLUSIVE

Approved by the Washington State Local Records Committee - July, 2001



For the Attorney General: Brian Buccholz



For the State Auditor: George Geyer



The State Archivist: Phillip Coombs

Schedule Applicable to: ALL LOCAL GOVERNMENT AGENCIES

Schedule Title: ELECTRONIC INFORMATION - GENERAL

DATA AND INPUT DOCUMENTATION	
DATABASE AND SPREADSHEET DATA FINDING AIDS AND INDEXES (USER WORKING COPY) INPUT DOCUMENTS WORD PROCESSING FILES	<p>Primary record copies of completed drafts and documents should be filed with the appropriate records series and retained in hard copy or electronic form according to the minimum retention periods approved by the Local Records Committee for those records series.</p> <p>User and other secondary copies should be retained until obsolete or superseded. The appropriate Disposition Authority Number (DAN) should be referenced when documenting the disposal of public record information regardless of the medium or format in which they are stored.</p>
ELECTRONIC MAIL	
<p>Electronic mail (E-mail) is primarily a communication system. Individual E-mail messages may be public records with legally mandated retention requirements, or may be information with no retention value. E-mail messages are public records when they are created or received in the transaction of public business and retained as evidence of official policies, actions, decisions or transactions. Such messages must be identified, filed and retained just like records in other formats.</p> <p>Currently few E-mail systems are designed to categorize and retain information. E-mail messages with public record content should be retained in E-mail format only as long as they are being worked on or distributed. Upon completion, E-mail messages containing public record information should be printed out or transferred to an electronic document management system, filed with the appropriate record series, and retained for the minimum retention period assigned by the Local Government General Records Retention Schedule or a records retention schedule approved specifically for the agency by the Local Records Committee.</p>	
<p>E-mail messages which are usually public records and must meet records retention requirements before being destroyed:</p> <ol style="list-style-type: none"> 1. Policy and Procedure Directives 2. Correspondence or memoranda related to official public business 3. Agendas and minutes of meetings 4. Documents relating to legal or audit issues 5. Messages which document agency actions, decisions, operations and responsibilities 6. Documents that initiate, authorize or complete a business transaction 7. Drafts of documents that are circulated for comment or approval 8. Final reports or recommendations 9. Appointment calendars 10. E-mail distribution lists 11. Routine information requests 12. Other messages sent or received that relate to the transaction of local government business 	<p>E-mail Messages Which Are Usually Administrative Materials with No Retention Value:</p> <ol style="list-style-type: none"> 1. Information-only copies, or extracts of documents distributed for reference or convenience, such as announcements or bulletins 2. Phone message slips that do not contain information that may constitute a public record 3. Copies of published materials 4. Informational copies 5. Preliminary drafts 6. Routing slips 7. Transmittals (Letters/memos) <p><i>See e-mail guidelines in the records management section of this manual.</i></p>

SUPERSEDED

Schedule Applicable to: ALL LOCAL GOVERNMENT AGENCIES

Schedule Title: ELECTRONIC INFORMATION - SYSTEM DOCUMENTATION

DISPOSITION AUTHORITY: GS50-06A

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	DATA PROCESSING FEASIBILITY STUDIES May include any or all of the following: problem identification; requirements statement; system objectives; alternative proposals; cost/benefit analysis; feasibility statement.	OFM	3 years	Destroy when obsolete or superseded	GS50-06A-01	
2	ELECTRONIC INFORMATION SYSTEM AND SOFTWARE BACKUP DATA Tapes, discs, and media providing backup data that is revised and recreated in a regular cycle. ESSENTIAL RECORD - Backup should be stored off site.	OFM	Destroy when obsolete or superseded – as determined by office of record	Destroy when obsolete or superseded	GS50-06A-02	In order to protect the physical security and accessibility of information for the duration of its retention requirement, backup tapes must be stored off-site in a temperature and humidity controlled environment.
3	ELECTRONIC INFORMATION SYSTEM DESIGN DOCUMENTATION May include any or all of the following: General description of design, including system review if applicable, system definition; project work plan; design detail, including documentation plan, program specifications, special forms and requirements; development plans for testing, training, conversion, and acceptance. ESSENTIAL RECORD - Needs security backup - See remarks.	OFM	Fiscal/accounting systems - termination plus 3 years All other systems - Until all record data produced by the system pass their approved retention periods	Destroy when obsolete or superseded	GS50-06A-03	Source document microfilming is not recommended for this purpose. This records series should be protected from damage or loss by off-site storage of a security copy, or by keeping a list that identifies the locations of other copies inside or outside the agency.
4	ELECTRONIC INFORMATION SYSTEM MAINTENANCE DOCUMENTATION May include any or all of the following: System or program change authorization; description of changes; acceptance testing.	OFM	Fiscal/accounting systems, termination plus 3 years All other systems - Until all record data produced by the system pass their approved retention periods	Destroy when obsolete or superseded	GS50-06A-04	Source document microfilming is not recommended for this purpose.
5	ELECTRONIC INFORMATION SYSTEM OPERATIONAL DATA Summaries of throughput statistics, usage figures, and work output measurements, input edit listings, and system access logs.	OFM	1 year	Destroy when obsolete or superseded	GS50-06A-05	
6	ELECTRONIC INFORMATION SYSTEM POST IMPLEMENTATION REVIEWS Project and personnel evaluations, further recommendations.	OFM	Until termination of system or program use	Destroy when obsolete or superseded	GS50-06A-06	

SUPERSEDED

Schedule Applicable to: ALL LOCAL GOVERNMENT AGENCIES

Schedule Title: ELECTRONIC INFORMATION - SYSTEM DOCUMENTATION

DISPOSITION AUTHORITY: GS50-06A

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
7	ELECTRONIC INFORMATION SYSTEM PROGRAMMING AND IMPLEMENTATION DATA Operational and user instructions, specification and system acceptance criteria. ESSENTIAL RECORD - Needs security backup - See remarks.	OFM	Fiscal/accounting systems - termination plus 3 years All other systems - Until all record data produced by the system pass their approved retention periods	Destroy when obsolete or superseded	GS50-06A-07	Source document microfilming is not recommended for this purpose. This records series should be protected from damage or loss by off-site storage of a security copy, or by keeping a list that identifies the locations of other copies inside or outside the agency.
8	YEAR 2000 COMPLIANCE PROJECTS DOCUMENTATION May include program management plans, meeting documentation, project planning, assessment, conversion, test planning and results, implementation, contingency plans, and certification records.	OPR	Termination of project and/or contract plus 6 years	Destroy when obsolete or superseded	GS50-06G-01	

SUPERSEDED

DISTRICT AND MUNICIPAL COURTS GENERAL RECORDS RETENTION SCHEDULE

INSURANCE/RISK MANAGEMENT/SAFETY

The following general records retention schedule sets minimum retention requirements and provides local government agencies with blanket authority for the disposition of commonly held records according to the provisions of RCW 40.14.070 and WAC 434-635-050. This section begins with the signatures of the Local Records Committee, which signify the Committee's approval and the foundation of the records disposition authority that the schedule conveys to agencies of local government. Each records series entry is assigned a Disposition Authority Number. These numbers should be cited in the documentation that an agency maintains for the records it actually destroys. An agency may retain individual records series longer than the retention period approved by the Local Records Committee, but such records are subject to public disclosure and legal discovery until they are disposed of. Any records that may be discoverable in an active or pending court case must be retained and made available for discovery until the case is settled, regardless of their approved retention periods.

In some cases, the primary copy of a records series may be held by another agency/office. In those cases, use the retention period for secondary copies.

General records retention schedule listings for some records series include special designations and disposition instructions, including:

- **Official Public Records (OPR)** are those records identified and required by statute that document legal actions or transactions and/or fiscally or financially obligates the law office. All other records are Office Files and Memoranda (OFM) as defined in RCW 40.14.010.
- **Potential Archival Value** – This designation identifies records that have potential historical research value. These records must be appraised for transfer to the Regional Archives system before being destroyed. Contact your Regional Archivist to make arrangements for appraisal and transfer of records with potential archival value.
- **Essential Record** – This designation identifies records that are essential for the continuity and restoration of agency operations after a disaster, serve as primary documentation of an agency's legal authority and responsibilities, or protects the rights of clients, property owners, students or other individuals.

INSURANCE/RISK MANAGEMENT SAFETY- INCLUSIVE

Approved by the Washington State Local Records Committee – July 2001



For the Attorney General: Brian Buccholz



For the State Auditor: George Geyer



The State Archivist: Phillip Coombs

Schedule Applicable to: ALL LOCAL GOVERNMENT AGENCIES

Schedule Title: INSURANCE/RISK MANAGEMENT/SAFETY

DISPOSITION AUTHORITY GS50-06C

SERIES NO.	RECORDS SERIES TITLE AND DESCRIPTION OF SERIES	OPR or OFM	OFFICE OR DIVISION LOCATION AND MINIMUM RETENTION PERIOD		DISPOSITION AUTHORITY NUMBER (DAN)	SPECIAL AND/OR DISPOSITION INSTRUCTIONS
			PRIMARY RECORD COPY	SECONDARY RECORD COPY		
1	ACCIDENT/INCIDENT CLAIM LOGS, DEPT. OF LABOR AND INDUSTRIES	OFM	3 years	Destroy when obsolete or superseded	GS50-06C-01	
2	ACCIDENT/INCIDENT REPORTS - EMPLOYEES - INSURED BY AGENCY OR NOT REPORTABLE TO LABOR AND INDUSTRIES	OPR	For accidents/incidents involving adults NOT resulting in claims– 3 years For accidents/incidents involving adults resulting in claims– closure plus 6 years For accidents/incidents involving minors NOT resulting in claims– age of majority plus 3 years For accidents/incidents involving minors resulting in claims – closure plus 6 years	Destroy when obsolete or superseded	GS50-06C-02	The Local Records Committee suggests that policies, which allow claims retroactive to their period of coverage, should be retained permanently. Consult your risk manager.
3	ACCIDENT/INCIDENT REPORTS - EMPLOYEES - INSURED THROUGH LABOR & INDUSTRIES Made out by employee, supervisor and/or doctor. Includes in-house reports and other reports, which are submitted to other agencies as necessary.	OPR	For accidents/ incidents involving adults NOT resulting in claims– 3 years For accidents/ incidents involving adults resulting in claims– closure plus 6 years For accidents/ incidents involving minors NOT resulting in claims - age of majority plus 3 years For accidents/ incidents involving minors resulting in claims – closure plus 6 years	Destroy when obsolete or superseded	GS50-06C-03	The Local Records Committee suggests that policies, which allow claims retroactive to their period of coverage, should be retained permanently. Consult your risk manager.
4	AUDIOMETRIC TEST RECORDS	OFM	Retain for the duration of the affected employee's employment	Destroy when obsolete or superseded	GS51-05D-10	Please reference WAC 296-62-09041 (4b).
5	CERTIFICATES OF INSURANCE					

SUPERSEDED