



This schedule applies to: Public Hospital Districts

Scope of records retention schedule

This records retention schedule covers the public records of **public hospital districts** relating to the functions of agency management, asset and infrastructure management, financial management, health care and treatment, laboratory and pathology management, patient/client account management, pharmacy, and research. It is to be used in conjunction with the other approved schedules that relate to the functions of the agency.

All current approved records retention schedules can be accessed online at: <http://www.sos.wa.gov/archives/RecordsRetentionSchedules.aspx>.

Disposition of public records

Public records covered by record series within this records retention schedule must be retained for the minimum retention period as specified in this schedule. Washington State Archives ~~strongly recommends the disposition of public records at the end of their minimum retention period~~ for the efficient and effective management of local resources.

Public records designated as ARCHIVAL (Permanent Retention) must not be destroyed. Records designated as ARCHIVAL (Appraisal Required) must be appraised by the Washington State Archives before disposition. ~~Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation~~ and must be managed in accordance with the agency's policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with Chapter 42.56 RCW and must be managed in accordance with the agency's policies and procedures for public records requests.

Revocation of previously issued records retention schedules

All previously approved disposition authorities for records that are covered by this retention schedule are revoked, including those listed in all general and agency unique retention schedules. Local government agencies should take measures to ensure that the retention and disposition of public records is in accordance with current, approved records retention schedules.

Authority

This records retention schedule was approved by the **Local Records Committee** in accordance with RCW 40.14.070 on March 31, 2011.

Signature on file

For the Attorney General: Cindy Evans

Signature on file

For the State Auditor: Mark Rapozo

Signature on file

The State Archivist: Jerry Handfield



REVISION HISTORY

Version	Date of Approval	Extent of Revision
1.0	1980s	Initial version.
2.0	1999	Major revision.
3.0	2001	Major revision and update.
4.0	March 26, 2009	Record series common to all local government agencies now appear in the new <i>Local Government Common Records Retention Schedule (CORE)</i> and have been removed from this schedule. All Disposition Authority Numbers (DANs) in the <i>Public Hospital Districts Records Retention Schedule</i> now begin with the prefix "HO"; there have been no changes to titles, descriptions, retention periods, or archival designations.
5.0	March 31, 2011	Revision of entire schedule, including series titles, descriptions, retention periods, and archival designations. Entire schedule has been reorganized and restructured. The "Secondary Copy" and "Remarks" columns have been removed and the entire schedule has been reformatted. Record series common to all local government agencies and covered by the <i>Local Government Common Records Retention Schedule (CORE)</i> have been removed.



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1. AGENCY MANAGEMENT

The function relating to the overarching management of the local government agency and its general administration, where not covered by *CORE*. Also includes managing the agency's interaction with its community, and legal matters.

See *CORE*'s **AGENCY MANAGEMENT** function for additional record series relating to general agency administration.

1.1 COMMUNITY RELATIONS

The activity of the local government agency interacting with its community.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
1.1.1	<p>Patient Relations</p> <p>Records relating to the agency's interactions with patients or their representatives, such as inquiries, complaints, and grievances.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Inquiries/complaints/grievances received;• Documentation of agency response(s). <p>Excludes HIPAA-related complaints covered by HO2011-112.</p>	HO55-03V-04 Rev. 1	Retain for 8 years after inquiry/complaint/grievance <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM



2. ASSET MANAGEMENT

The function of managing hospital and affiliated entities' physical assets and infrastructure including buildings and facilities, equipment, and environmental exposure, where not covered by CORE.

See CORE's **ASSET MANAGEMENT** function for additional record series relating to disposal, hazardous waste/environmental management, inventory, leasing/usage, and maintenance.

2.1 DISPOSAL

The activity of disposing of the local government agency's assets through sale or otherwise, where not covered by the Local Government Common Records Retention Schedule (CORE).

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
2.1.1	<p>Radioactive Material – Disposal Records relating to the disposal of byproduct or radiopharmaceutical material, including burials in soil.</p> <p><i>Note: WAC 246-221-230(8)(a) requires the retention of records relating to disposal of radioactive material until termination of pertinent license or registration.</i></p>	HO55-03L-07 Rev. 1	Retain until termination of last pertinent license or registration <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.1.2	<p>Decay In Storage Records relating to the disposal of radioactive materials due to decay in storage pursuant to WAC 246-240-128.</p> <p><i>Note: WAC 246-240-584 requires the retention of records relating to the disposal of decay-in-storage radioactive material for 3 years.</i></p>	HO2011-074 Rev. 0	Retain for 3 years after date of final disposal <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.1.3	<p>Decay – Strontium-90 Records documenting the activity of strontium-90 sources, used to determine treatment times for ophthalmic treatments, pursuant to WAC 246-240-272.</p> <p><i>Note: WAC 246-240-602 requires the retention of records relating to the activity of strontium-90 sources used for ophthalmic treatments for the life of the source.</i></p>	HO2011-075 Rev. 0	Retain for the life of the source <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



2.2 INVENTORY

The activity of detailing or itemizing goods, materials and resources on a periodic basis.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
2.2.1	Mechanical Floor Stock Devices – Drug Removal Records relating to the removal of drugs from mechanical floor stock devices in accordance with WAC 246-869-120(6).	HO55-030-14 Rev. 1	Retain for 2 years after date of drug removal <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.2.2	Radiation Source Inventories Records relating to semiannual physical inventories of sealed sources and brachytherapy sources pursuant to WAC 246-240-572. <i>Note: WAC 246-240-572 requires the retention of records relating to physical inventories of sealed sources and brachytherapy sources for 3 years.</i>	HO2011-076 Rev. 0	Retain for 3 years after date of inventory <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.2.3	Radioactive Material – Acquisiton/Transfer Records relating to the acquisition or transfer of byproduct and/or radiopharmaceutical material. <i>Note: 10 CFR 30.51(a)(1) and (2) require the retention of records relating to the receipt or transfer of byproduct material for 3 years following transfer or disposal of the material.</i>	HO55-03L-08 Rev. 1	Retain for 3 years after disposal/transfer <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



2.3 LEASING/USAGE

The activity of acquiring or granting temporary authority to use goods, materials, or resources.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
2.3.1	<p><i>In-Home Equipment</i> Records relating to the provision and maintenance of hospital-owned equipment used in patient residences, including radioactive materials and surveys of associated equipment (mobile medical services).</p> <p><i>Note: WAC 246-240-581 requires the retention of letters permitting the use of radioactive material at a client's address, and surveys of associated equipment, for 3 years after the last provision of service.</i></p>	HO55-03X-01 Rev. 1	<p>Retain for 8 years after equipment has been removed from patient residence <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



2.4 MAINTENANCE

The activity of managing the use and maintenance of agency facilities and equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
2.4.1	Calibration – Brachytherapy Sources Records relating to the calibration of brachytherapy sources before medical use pursuant to WAC 246-240-269. <i>Note: WAC 246-240-599 requires the retention of records relating to the calibration of brachytherapy sources before medical use for 3 years.</i>	HO2011-077 Rev. 0	Retain for 3 years after last use of source <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.4.2	Calibration – Dosimetry Equipment Records relating to the calibration, intercomparison, and comparisons of dosimetry equipment performed in accordance with WAC 246-240-366. <i>Note: WAC 246-240-611 requires the retention of records relating to the calibration, intercomparison, and comparisons of dosimetry equipment for the duration of the authorized user's license.</i>	HO2011-078 Rev. 0	Retain for the duration of authorized user's license <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.4.3	Calibration Expert Evaluations Records relating to the evaluation of calibration experts by teletherapy licensees on behalf of the hospital.	HO55-03L-66 Rev. 1	Retain for 5 years after expert's performance of last full calibration <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.4.4	Calibration Instructions – Image Receptors Records relating to calibration instructions for image receptor equipment.	HO55-03L-19 Rev. 1	Retain until disposition of image receptor <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM



2.4 MAINTENANCE

The activity of managing the use and maintenance of agency facilities and equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
2.4.5	<p><i>Equipment Calibration and Testing</i> Records relating to the calibration and/or testing of instruments and equipment used for the survey or administration of byproduct material <i>where not covered by a more specific record series.</i></p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Calibration of instruments used for quantitative radiation measurements in accordance with WAC 246-221-110(2); • Calibration of survey instruments in accordance with WAC 246-240-104; • Calibration of teletherapy units, remote afterloader units, and gamma stereotactic units in accordance with WAC 246-240-369; • Calibration of instruments used to measure the activity of unsealed radioactive material in accordance with WAC 246-240-101; • Records relating to testing of high radiation entry control devices in accordance with WAC 246-221-106(3). <p><i>Note: WAC 246-240-566 requires the retention of survey instrument calibration records for 3 years.</i></p> <p><i>Note: WAC 246-240-614 requires the retention of teletherapy unit, remote afterloader unit, and gamma stereotactic radiosurgery unit full calibrations for 3 years.</i></p> <p><i>Note: WAC 246-240-563 requires the retention of records of each calibration of instruments used to measure the activity of unsealed radioactive material for 3 years.</i></p>	HO55-03L-20 Rev. 1	Retain for 3 years after date of calibration/test <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



2.4 MAINTENANCE

The activity of managing the use and maintenance of agency facilities and equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
2.4.6	Equipment Sterilization/Infection Control Records relating to the testing of facilities or equipment for infectious substances, and/or the sterilization of equipment or materials for medical use. Excludes sterilizer spore tests covered by HO2011-081.	HO55-03C-01 Rev. 1	Retain for 8 years after date of sterilization <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
2.4.7	Instructions - Manufacturer Instructions supplied by manufacturers and kept by the licensee of any sealed source or brachytherapy source in accordance with 10 CFR 35.67(a).	HO2011-079 Rev. 0	Retain for duration of source use <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
2.4.8	Instructions/Procedures – Radiation Protection Written procedures, safety instructions, and/or operating procedures for remote afterloader units, teletherapy units, and/or gamma stereotactic radiosurgery units as described in WACs 246-240-360(1)(d) and 246-240-360(4)(b). <i>Note: WAC 246-240-608 requires the retention of written procedures, safety instructions, and operating procedures for remote afterloader units, teletherapy units, and gamma stereotactic radiosurgery units until disposition of the unit.</i>	HO2011-080 Rev. 0	Retain for 3 years after disposition of equipment <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OPR
2.4.9	Radiation Machine Registrations Records relating to the registration of radiation machines with the Department of health in accordance with Chapter 246-224 WAC.	HO55-03L-46 Rev. 1	Retain for 6 years after termination of registration <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OPR



2.4 MAINTENANCE

The activity of managing the use and maintenance of agency facilities and equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
2.4.10	<p>Sterilizer Spore Tests Records relating to spore tests performed on sterilization equipment in accordance with WAC 246-145-030(11)(d). <i>Note: WAC 246-145-030(11)(d) requires the retention of records relating to sterilizer testing for at least 3 years.</i></p>	HO2011-081 Rev. 0	Retain for 3 years after date of test <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.4.11	<p>Surveys – Treatment Equipment Records relating to radiation surveys of treatment equipment in accordance with WAC 246-240-390. <i>Note: WAC 246-240-629 requires the retention of records relating to radiation surveys of treatment units for the duration of use of the unit.</i></p>	HO2011-082 Rev. 0	Retain for the duration of the use of the treatment unit <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.4.12	<p>Teletherapy Calibration Calculations Records relating to calibration inter-comparisons and comparisons of dosimetry equipment for teletherapy.</p>	HO55-03L-64 Rev. 1	Retain until termination of equipment license <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
2.4.13	<p>Teletherapy Five-Year/Source Replacement Inspections Records relating to five-year inspections or servicing of teletherapy and gamma stereotactic radiosurgery units in accordance with WAC 246-240-393. <i>Note: WAC 246-240-632 requires the retention of records relating to five-year inspections for teletherapy and gamma stereotactic radiosurgery units for the duration of use of the unit.</i></p>	HO55-03L-69 Rev. 1	Retain for the duration of the use of the unit <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



2.4 MAINTENANCE

The activity of managing the use and maintenance of agency facilities and equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
2.4.14	<p>Teletherapy Source Installation Surveys Records relating to radiation surveys of teletherapy sources prior to medical use and/or after each installation of a teletherapy source in accordance with 10 CFR 35.641.</p> <p><i>Note: 10 CFR 35.641(c) requires the retention of records relating to teletherapy source installation radiation measurements for the duration of the license.</i></p>	HO55-03L-68 Rev. 1	Retain until termination of equipment license <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
2.4.15	<p>X-Ray/Electron Therapy Spot Checks Records relating to spot check measurements of x-ray and electron therapy systems pursuant to WAC 246-225-130.</p> <p><i>Note: WAC 246-225-130(2)(u)(iii)(H) requires the retention of records relating to spot checks of x-ray and electron therapy spot checks for one year or for twice as long as the spot check cycle, whichever is greater.</i></p>	HO2011-083 Rev. 0	Retain for 1 year after completion of spot check <i>and</i> for twice as long as spot check cycle <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



2.5 PURCHASING/ACQUISITION

The activity of acquiring assets through purchase or donation. Includes records documenting ownership of assets.

See CORE's **ASSET MANAGEMENT – Purchasing/Acquisition** activity for record series relating to the purchasing/acquisition of agency assets.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
2.5.1	<p>Acceptance Testing Records relating to purchase specifications and acceptance testing of diagnostic radiology equipment pursuant to 21 CFR 1000.55.</p> <p><i>Note: 21 CFR 1000.55(c)(2) requires the retention of purchase specifications and records of acceptance testing throughout the life of the equipment.</i></p>	HO55-03L-10 Rev. 1	Retain until disposition of equipment <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM

SUPERSEDED



3. HEALTH CARE AND TREATMENT

The function relating to the assessment, diagnosis, and treatment of patients.

See CORE's **HUMAN RESOURCE MANAGEMENT – Occupational Health and Safety** activity for additional records relating to employee/occupational health.

See CORE's **FINANCIAL MANAGEMENT** function for additional financial records.

3.1 DIAGNOSTIC AND MEDICAL IMAGING

The activity of imaging the human body for clinical assessment and/or diagnosis.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.1.1	<p>Diagnostic Images – Age 18 and Older Records relating to tests, assessments, or examinations performed on patients age 18 or older in the effort to diagnose illness or disease, which may take the form of graphs, images, tracings, observations, test results, video, or other records produced by diagnostic equipment.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Echocardiographs and electroencephalograms; • Evoked potential tests; • Fetal monitoring strips; • Hematology and cytology reports; • Nuclear images; • X-rays and roentgenograms. <p>Excludes graphs/tracings/images that are part of the patient medical record covered by HO55-03I-07 or HO2011-101.</p> <p>Excludes mammography films covered by HO2011-085.</p> <p>Excludes physician's interpretive reports covered by HO55-03I-07 or HO2011-101.</p>	HO55-03S-01 Rev. 1	Retain for 10 years after date of test or assessment then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



3.1 DIAGNOSTIC AND MEDICAL IMAGING

The activity of imaging the human body for clinical assessment and/or diagnosis.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.1.2	<p>Diagnostic Images – Under Age 18</p> <p>Records relating to tests, assessments, or examinations performed on patients under age 18 in the effort to diagnose illness or disease, which may take the form of graphs, images, tracings, observations, test results, video, or other records produced by diagnostic equipment.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Echocardiographs and electroencephalograms; • Evoked potential tests; • Fetal monitoring strips; • Hematology and cytology reports; • Nuclear images; • X-rays and roentgenograms. <p>Excludes graphs/tracings/images that are part of the patient medical record covered by HO55-03I-07 or HO2011-101.</p> <p>Excludes mammography films covered by HO2011-085.</p> <p>Excludes physician’s interpretive reports covered by HO55-03I-07 or HO2011-101.</p>	HO2011-084 Rev. 0	<p>Retain for 8 years after patient attains age 18 <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



3.1 DIAGNOSTIC AND MEDICAL IMAGING

The activity of imaging the human body for clinical assessment and/or diagnosis.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.1.3	<p>Mammography Films Patient mammography films created by the agency.</p> <p>Excludes patient medical records covered by HO55-03I-07, HO2011-101, or HO2011-102.</p> <p><i>Note: The Mammography Quality Standards Act (MQSA) Title 42, Chapter 6A, Subchapter II, Part F, subpart 3 (f)(G)(i)(I) requires the retention of mammograms for not less than 5 years, or not less than 10 years if no subsequent mammograms of such patient are performed at the facility.</i></p>	HO2011-085 Rev. 0	<p>Retain for 5 years after date of mammogram <i>and</i> 10 years after patient’s last mammogram performed at the facility <i>then</i> Destroy.</p>	NON-ARCHIVAL ESSENTIAL OPR
3.1.4	<p>Radiologic Reports Records relating to reports and printouts created by radiologists in the course of examining and assessing radiological images.</p> <p><i>Note: 42 CFR 482.26(d)(2) requires the retention of radiologic reports and printouts for 5 years.</i></p>	HO2011-086 Rev. 0	<p>Retain for 5 years after date of report/printout <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



3.2 LONG TERM CARE/NURSING FACILITY MANAGEMENT

The activity of managing long term care or nursing home programs and facilities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.2.1	Drug Logs - Therapeutic Leave Logs documenting the provision of prescription drugs to residents for consumption during leave away from the long term care/nursing facility pursuant to WAC 246-865-070(4).	HO2011-087 Rev. 0	Retain for 8 years after date of last entry <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.2.2	Emergency Kit Drugs Records relating to the receipt and removal of drugs in emergency kits maintained by long term care/nursing facilities in accordance with WAC 246-865-030(4).	HO55-03R- 04 Rev. 1	Retain for 6 years after date of receipt/removal <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.2.3	Long Term Care/Nursing Home Resident Medical Records – Age 18 and Over Records created by nursing home facilities on a per-patient basis which document services provided to patients age 18 and over. Excludes patient medical records for which a disclosure authorization has been made in the final year of retention, covered by HO2011-102. <i>Note: RCW 18.51.300 requires nursing homes to retain all records relating directly to the care and treatment of adults for no fewer than eight years following most recent discharge.</i>	HO2011-088 Rev. 0	Retain for 8 years after last discharge <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OFM

SUPERSEDED



3.2 LONG TERM CARE/NURSING FACILITY MANAGEMENT

The activity of managing long term care or nursing home programs and facilities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.2.4	<p>Long Term Care/Nursing Home Resident Medical Records – Under Age 18 Records created by long term care facilities on a per-patient basis which document services provided to patients under age 18.</p> <p>Excludes patient medical records for which a disclosure authorization has been made in the final year of retention, covered by HO2011-102.</p> <p><i>Note: RCW 18.51.300 requires nursing homes to retain all records relating directly to the care and treatment of minors for no fewer than three years following attainment of age of eighteen years, or ten years following such discharge, whichever is longer.</i></p>	HO2011-089 Rev. 0	<p>Retain for 10 years after last discharge <i>and</i> 3 years after patient attains age 18 <i>then</i> Destroy.</p>	NON-ARCHIVAL ESSENTIAL OFM
3.2.5	<p>Persons Seeking Admission Records relating to individuals on waiting lists for admission to the long term care/nursing facility in accordance with WAC 388-97-0040(6), but who have not yet been admitted.</p>	HO55-03R-06 Rev. 1	<p>Retain for 1 year after date of last activity <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OFM
3.2.6	<p>Resident Administration Records documenting summary patient information pertinent to the administration of long term care/nursing services, such as information relating to resident identification, family contacts, and financial details (i.e. “face sheets”).</p> <p>Excludes long term care/nursing home patient records covered by HO2011-088 and HO2011-089.</p>	HO2011-090 Rev. 0	<p>Retain for 1 year after death/discharge of resident <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



3.2 LONG TERM CARE/NURSING FACILITY MANAGEMENT

The activity of managing long term care or nursing home programs and facilities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.2.7	Resident Censuses Records documenting census information and statistics about long term care/nursing residents.	HO2011-091 Rev. 0	Retain until no longer needed for agency business <i>then</i> Arrange for appraisal by Washington State Archives.	ARCHIVAL (Appraisal Required) NON-ESSENTIAL OFM
3.2.8	Resident In/Out Logs Logs documenting residents signed in or out as they physically enter or leave the long term care/nursing facility.	HO2011-092 Rev. 0	Retain for 3 years after last entry <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



3.3 PATIENT ADMINISTRATION

The activity of administering health care and treatment services provided for patients.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.3.1	Master Patient Index Records documenting patient identification, registration, medical, and billing information for each individual registered at the hospital. <i>Note: The American Health Information Management Association (AHIMA) recommends the permanent retention of the master patient index.</i>	HO55-03B-02 Rev. 1	Retain until destruction of pertinent medical record <i>then</i> Transfer to Washington State Archives for appraisal and selective retention.	ARCHIVAL (Appraisal Required) ESSENTIAL OPR
3.3.2	Maternity Registers Registers of maternity cases at the hospital.	HO55-03I-02 Rev. 1	Retain for 3 years after date of entry <i>then</i> Transfer to Washington State Archives.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM
3.3.3	Operative Indexes Logs of surgical operations performed by the hospital and pertinent staff, equipment, or facility information. <i>Note: The American Health Information Management Association (AHIMA) recommends the retention of operatives indexes for 10 years.</i>	HO55-03M-01 Rev. 1	Retain for 10 years after date of entry <i>then</i> Transfer to Washington State Archives.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM
3.3.4	Patient Property Records documenting property recovered from patients who are admitted into the hospital.	HO55-03B-03 Rev. 1	Retain for 3 years after patient discharge <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM

SUPERSEDED



3.3 PATIENT ADMINISTRATION

The activity of administering health care and treatment services provided for patients.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.3.5	<p>Operative Scheduling/Assignments Records relating to the scheduling and assignment of staff, equipment, or other medical resources for pre-operative, operative, or post-operative procedures performed by the hospital and/or its ancillary departments (e.g. perioperative services).</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Anesthesia/activity logs;• Equipment schedules;• Medical, nursing, anesthesia, and support staff schedules;• Perfusion records.	HO2011-093 Rev. 0	Retain for 8 years after date of operation <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.3.6	<p>Referrals – To the Agency Pre-admission records relating to patients referred to the agency by outside providers.</p>	HO2011-094 Rev. 0	Retain until no longer needed for agency business <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.3.7	<p>Referrals – Outside of the Agency Records relating to agency referrals of patients to non-agency providers.</p>	HO2011-095 Rev. 0	Retain for 2 years after date of receipt <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM

SUPERSEDED



3.4 PATIENT BILLING

The activity of billing patients for services provided by the hospital or its ancillary departments.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.4.1	Clinical Resource Management/Utilization Review Records relating to comparisons of medical resource requests to treatment guidelines, and resultant determinations of medical necessity.	HO55-03Q-04 Rev. 1	Retain for 8 years after completion of utilization review <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.4.2	Cost/Fee Sheets Records documenting services or procedures provided to patients, and necessary coding or billing information associated with services provided.	HO2011-096 Rev. 0	Retain for 6 years after date service provided <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
3.4.3	Patient Billing and Financial Assistance Records relating to the billing of insurance and third party payers for the provision of medical services. Includes, but is not limited to: <ul style="list-style-type: none">• Applications for financial assistance (Medicaid/Medicare eligibility, Hill-Burton eligibility, etc.)• Medicaid/Medicare billing and reimbursements;• Patient refunds.	HO2011-097 Rev. 0	Retain for 6 years after final account activity <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



3.4 PATIENT BILLING

The activity of billing patients for services provided by the hospital or its ancillary departments.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.4.4	<p>Uncompensated Care - Compliance</p> <p>Records documenting agency compliance with uncompensated care requirements of 42 CFR Part 124.510(b)</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">Any documents from which the information required to be reported to Health and Human Services once every three fiscal years was obtained (see 24 CFR 124.510(a)(i));Accounts which clearly segregate uncompensated services from other accounts;Copies of written determinations of eligibility under 42 CFR Part 124.507.	HO2011-098 Rev. 0	Retain for 3 years after report submitted to Health and Human Services <i>or</i> 180 days after close of Health and Human Services investigation, whichever is longer <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM



3.5 PATIENT MEDICAL RECORDS

The activity of managing documentation relating to the assessment and treatment of patients.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.5.1	<p>Counseling Records relating to the provision of mental health counseling and services on a per-patient basis by a licensed mental health counselor.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Counseling notes and summaries; • Prescriptions. <p>Excludes patient medical records for which a disclosure authorization has been made in the final year of retention, covered by HO2011-102.</p> <p><i>Note: WAC 246-809-035(4) requires the retention of all records relating to counseling services billed to a third-party payer for 5 years following the patient's last visit.</i></p>	HO2011-099 Rev. 0	Retain for 5 years after patient's last visit <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OFM
3.5.2	<p>Interpretation Requests Records relating to requests received by the hospital to provide language interpretation during medical visits and/or consultations.</p>	HO55-03L-25 Rev. 1	Retain until added to patient's medical record.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.5.3	<p>Organ Transplants Records relating to the transplantation of organs, including records relating to potential donors, organs retrieved/received, transplant recipients, and other transplant-related matters.</p> <p>Excludes patient medical records covered by HO55-03I-07 or HO2011-101.</p> <p><i>Note: 42 CFR 121.11(a)(2) requires the retention of records relating to organ transplants for 7 years.</i></p>	HO2011-100 Rev. 0	Retain for 7 years after date of transplant procedure <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OPR

SUPERSEDED



3.5 PATIENT MEDICAL RECORDS

The activity of managing documentation relating to the assessment and treatment of patients.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.5.4	<p>Patient Medical Records – Age 18 and Over Records created by the hospital or its ancillary departments on a per-patient basis to document health care services provided to patients age 18 and over.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Diagnostic, medical, and/or imaging reports or interpretations; • Medication administration records; • Patient treatment history; • Psychology and psychiatric notes and summaries, and psychotherapy notes. <p>Excludes patient medical records for which a disclosure authorization has been made in the final year of retention, covered by HO2011-102.</p>	HO55-031-07 Rev. 1	<p>Retain for 10 years after last provision of health-related services <i>then</i> Destroy.</p>	NON-ARCHIVAL ESSENTIAL OPR
3.5.5	<p>Patient Medical Records – Under Age 18 Records created by the hospital or its ancillary departments on a per-patient basis to document health care services provided to patients under age 18.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Diagnostic, medical, and/or imaging reports or interpretations; • Medication administration records; • Patient treatment history; • Psychology and psychiatric notes and summaries, and psychotherapy notes. <p>Excludes patient medical records for which a disclosure authorization has been made in the final year of retention, covered by HO2011-102.</p>	HO2011-101 Rev. 0	<p>Retain for 10 years after last provision of health-related services <i>and</i> 3 years after patient attains age 18 <i>then</i> Destroy.</p>	NON-ARCHIVAL ESSENTIAL OPR

SUPERSEDED



3.5 PATIENT MEDICAL RECORDS

The activity of managing documentation relating to the assessment and treatment of patients.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.5.6	<p>Patient Medical Records – Disclosure Authorized Patient medical records for which a disclosure has been authorized in accordance with RCW 70.02.040.</p> <p><i>Note: RCW 70.02.160 requires the retention of existing health care information for at least one year following receipt of an authorization to disclose that health care information.</i></p>	HO2011-102 Rev. 0	<p>Retain for 1 year after receipt of authorization to disclose <i>then</i> Destroy.</p>	NON-ARCHIVAL ESSENTIAL OPR

SUPERSEDED



3.6 RADIATION PROTECTION PROGRAM

The activity relating to compliance with laws and regulations governing the receipt, preparation, use, or storage of radioactive material in hospital facilities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.6.1	<p>Brachytherapy Source Accountability Records documenting information pertinent to licensees' accountability for brachytherapy sources in storage, transport, or use pursuant to WAC 246-240-260.</p> <p>Includes, but is not limited to documentation of:</p> <ul style="list-style-type: none"> Number and activity of sources removed from storage, time and date removed from storage, name(s) of individuals removing them from storage, and location(s) of use; Number and activity of sources not implanted or returned to storage, time and date they were returned to storage, and name(s) of individuals who returned them to storage; Number and activity of sources permanently implanted in the patient or human research subject. <p><i>Note: WAC 246-240-596 requires the retention of records documenting licensees' accountability for brachytherapy sources for 3 years after disposal of the source.</i></p>	HO2011-103 Rev. 0	<p>Retain for 3 years after disposal of source <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR
3.6.2	<p>Byproduct Misadministration Records relating to the misadministration of byproduct material or radiation from byproduct material.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> Doses that differ from the prescribed dose by twenty percent or more; Doses that exceed dose equivalents; Doses to skin, an organ, or tissue other than the treatment site. 	HO55-03L-58 Rev. 1	<p>Retain for 8 years after date of event <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



3.6 RADIATION PROTECTION PROGRAM

The activity relating to compliance with laws and regulations governing the receipt, preparation, use, or storage of radioactive material in hospital facilities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.6.3	<p>Occupational and Public Dose/Exposure – Reports</p> <p>Records relating to activities, program reviews, measurements, and calculations which may be necessary to determine the extent of occupational and/or public exposure from sources of radiation as required in WAC 246-221-230.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> Records on Department of Health Form RHF-5 or RHF-5A, or equivalent, of doses received by all individuals for whom monitoring is required pursuant to WAC 246-221-090 and/or 246-221-100; Records of doses received during planned special exposures, accidents, and/or emergency conditions; Specific information used to calculate the committed effective dose equivalent pursuant to WAC 246-221-040(3); Results of surveys to determine the dose from external sources of radiation used in the absence of, or in combination with, individual monitoring data, in the assessment of individual dose equivalents; Results of measurements and calculations used to determine individual intakes of radioactive material used in the assessment of internal dose; Records showing results of air sampling, surveys, and bioassays required pursuant to WAC 246-221-117; Results of measurements and calculations used to evaluate the release of radioactive effluents to the environment. 	HO55-03L-39 Rev. 1	<p>Retain for 30 years after termination of last pertinent license or registration <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



3.6 RADIATION PROTECTION PROGRAM

The activity relating to compliance with laws and regulations governing the receipt, preparation, use, or storage of radioactive material in hospital facilities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.6.4	<p>Occupational and Public Dose/Exposure – Working Files Records used to prepare Department of Health Form RHF-4 and/or RHF-4A, or equivalent as required in WAC 246-221-230(9)(b). <i>Note: WAC 246-221-230(9) requires the retention of public dose/exposure working files for three years after date of document.</i></p>	HO55-03L-37 Rev. 1	Retain for 3 years after completion of report <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.6.5	<p>Patient Releases – Radiation Records relating to the release of individuals containing unsealed radioactive material or implants containing radioactive material as in accordance with WAC 246-240-122. <i>Note: WAC 246-240-578 requires the retention of records relating to the release of individuals containing unsealed radioactive material or implants for 3 years.</i></p>	HO2011-104 Rev. 0	Retain for 3 years after patient release <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.6.6	<p>Program Approvals/Changes Records relating to management approvals of radiation program licensing, workers, or program changes in accordance with WAC 246-240-551 or WAC 246-240-554.</p>	HO2011-105 Rev. 0	Retain for 5 years after date of document/approval <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.6.7	<p>Public Dose Limit Compliance Records documenting compliance with public dose limits for individuals as required by WAC 246-221-060(4). <i>Note: WAC 246-221-230(8)(b) requires the retention of records documenting compliance with public dose limits for individuals until termination of last pertinent license or registration.</i></p>	HO55-03L-42 Rev. 1	Retain until termination of last pertinent license or registration <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



3.6 RADIATION PROTECTION PROGRAM

The activity relating to compliance with laws and regulations governing the receipt, preparation, use, or storage of radioactive material in hospital facilities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.6.8	Radiation Doses Records relating to the administration of radiation doses for which written directives are required.	HO55-03L-43 Rev. 1	Retain for 3 years after administration of dose <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.6.9	Radiopharmaceutical Assays Records relating to assays of radiopharmaceuticals.	HO55-03L-56 Rev. 1	Retain for 2 years after date of assay <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
3.6.10	Source Surveys and Tests Records relating to the survey and/or testing of byproduct sources or byproduct source doses. Includes, but is not limited to: <ul style="list-style-type: none"> • Surveys of implanted brachytherapy sources in accordance with 10 CFR 35.406(d); • Byproduct dose activity measurements performed in accordance with WAC 246-240-107; • Leak test records of sealed or brachytherapy sources performed in accordance with WAC 246-240-113(1); • Radiopharmaceutical concentration testing of molybdenum-99, strontium-82, and/or strontium-85 in accordance with WAC 246-240-160. 	HO55-03L-03 Rev. 1	Retain for 3 years after date of survey/measurement <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM

SUPERSEDED



3.6 RADIATION PROTECTION PROGRAM

The activity relating to compliance with laws and regulations governing the receipt, preparation, use, or storage of radioactive material in hospital facilities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.6.11	<p>Surveys - General Records relating to radiation surveys performed to evaluate the radiological conditions and potential hazards incident to the production, use, release, disposal, or presence of radiation sources.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Ambient radiation exposure surveys performed in accordance with WAC 246-240-119; • General surveys as required by WAC 246-221-110 and/or 246-220-040; • Hot lab surveys; • Mobile medical services surveys performed in accordance with WAC 246-240-125(1)(b) and/or 246-240-125(1)(d); • Mobile nuclear medicine surveys; • Package surveys as required in WAC 246-221-160; • Surveys of patients, human research subjects, and/or remote afterloader units in accordance with WAC 246-240-354 prior to patient release to confirm that the radiation source(s) has been removed from the patient or subject and returned to the safe shielded position; • Working reception surveys. 	HO55-03L-30 Rev. 1	<p>Retain for 3 years after date of survey <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



3.6 RADIATION PROTECTION PROGRAM

The activity relating to compliance with laws and regulations governing the receipt, preparation, use, or storage of radioactive material in hospital facilities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
3.6.12	<p>Written Directives – Authorizations</p> <p>Written directives from authorized users for the administration of certain radioactive material as required by WAC 246-240-060.</p> <p><i>Note: WAC 246-240-557 requires the retention of written directives for the administration of radioactive material for 3 years.</i></p>	HO2011-106 Rev. 0	Retain for 3 years after date of directive <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.6.13	<p>Written Directives – Doses</p> <p>Records relating to the administration of radiation doses for which written directives are required.</p>	HO2011-107 Rev. 0	Retain for 3 years after administration of dose <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
3.6.14	<p>Written Directives – Procedures</p> <p>Procedures for any administration of radioactive material requiring a written directive pursuant to WAC 246-240-063.</p> <p><i>Note: WAC 246-240-560 requires the retention of procedures for any administration of radioactive material requiring a written directive for the duration of the authorized user's license.</i></p>	HO2011-108 Rev. 0	Retain for the duration of the authorized user's license <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



4. HOSPITAL SUPPORT SERVICES

The function of providing services which support the primary mission of the public hospital.

See CORE's **AGENCY MANAGEMENT – Community Relations** activity for additional community relations records.

4.1 FOOD SERVICES

The activity of providing food services for patients, staff, and the public.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
4.1.1	Meal Counts Records documenting the number of meals provided to patients.	HO55-03D-03 Rev. 1	Retain for 3 years after date meals distributed <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
4.1.2	Menus – Cafeteria Records relating to menus for hospital cafeterias.	HO55-03D-04 Rev. 1	Retain for 1 year after last date menu offered <i>then</i> Transfer to Washington State Archives for appraisal and selective retention.	ARCHIVAL (Appraisal Required) NON-ESSENTIAL OFM
4.1.3	Menus – Patient Records relating to menus for food offered to hospital patients.	HO55-03D-06 Rev. 1	Retain for 3 years after last date menu offered <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
4.1.4	Patient Meals Records relating to individual, patient-specific dietary orders or requests used by kitchen staff during meal preparation (e.g. patient diet cards). Excludes patient medical records covered by HO55-03I-07 or HO2011-101.	HO2011-109 Rev. 0	Retain for 3 years after provision of meal <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



4.2 QUALITY ASSURANCE AND COMPLIANCE

The function of enacting and evaluating policies and guidelines to provide adequate confidence that the hospital will fulfill requirements for quality.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
4.2.1	<p>Health Insurance Portability and Accountability Act (H.I.P.A.A.) – Accountings of Disclosure</p> <p>Records relating to patient requests for access or amendment to protected health information, and/or accountings of disclosure.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Requests to inspect or obtain copies of any protected health information;• Documentation of patients amending their records, and/or requests for corrections;• Records relating to disclosures of protected health information.	HO2011-110 Rev. 0	Retain for 6 years after date of disclosure <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
4.2.2	<p>Health Insurance Portability and Accountability Act (H.I.P.A.A.) – Changes/Corrections to Protected Health Information</p> <p>Records relating to changes or corrections made by the covered entity to an individuals' protected health information.</p>	HO2011-111 Rev. 0	Retain until destruction of protected health information subject to change(s) <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



4.2 QUALITY ASSURANCE AND COMPLIANCE

The function of enacting and evaluating policies and guidelines to provide adequate confidence that the hospital will fulfill requirements for quality.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
4.2.3	<p>Health Insurance Portability and Accountability Act (H.I.P.A.A.) – Complaints Records relating to internal processing of complaints of alleged HIPAA violations received and/or evaluated by the agency.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Complaints received regardless of format or media;• Background/research materials pertaining to complaints received;• Agency responses to complaints;• Complaint logs.	HO2011-112 Rev. 0	Retain for 6 years after final resolution <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
4.2.4	<p>Health Insurance Portability and Accountability Act (H.I.P.A.A.) – Sanctions to Workers Records relating to sanctions applied to workers for non-compliance with privacy policies and/or practices.</p>	HO2011-113 Rev. 0	Retain for 6 years after fulfillment of sanction <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
4.2.5	<p>Health Insurance Portability and Accountability Act (H.I.P.A.A.) – Training Records documenting the curriculum, materials, and/or planning of privacy/HIPAA training programs provided by the agency.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Training development records;• Training handouts, worksheets, etc.;• Presentations used for HIPAA training purposes.	HO2011-114 Rev. 0	Retain for 6 years after superseded <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



4.2 QUALITY ASSURANCE AND COMPLIANCE

The function of enacting and evaluating policies and guidelines to provide adequate confidence that the hospital will fulfill requirements for quality.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
4.2.6	<p>Medical Staff Credentialing/Privileging Records relating to reviews of practitioners' qualifications and practice history, determinations and restrictions of privileges, certifications and licensing, peer certifications and evaluations, and quality improvement documentation.</p> <p>Excludes personnel records covered by GS50-04B-06.</p> <p><i>Note: RCW 70.41.220 requires the retention of records relating to decisions to restrict or terminate privileges of practitioners.</i></p>	HO2011-115 Rev. 0	<p>Retain for 8 years after termination of employment <i>then</i> Transfer to Washington State Archives for appraisal and selective retention.</p>	<p>NON-ARCHIVAL (Appraisal Required) NON-ESSENTIAL OFM</p>
4.2.7	<p>Patient Accident/Incident Reports – No Claim Filed (Age 18 and Older) Records relating to accidents/incidents involving patients age 18 or older in the course of receiving health-related services, and where claims for damages are <u>not</u> expected or likely to be filed. Includes, but is not limited to, reports and investigations.</p> <p>Excludes hazardous materials accidents and incidents covered by GS50-19-03.</p> <p>Excludes accidents/incidents involving non-patients covered by GS50-06C-03.</p> <p>Excludes patient accident/incident reports covered by HO2011-116.</p>	HO55-03Q- 01 Rev. 1	<p>Retain for 8 years after date of accident/injury <i>then</i> Destroy.</p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>



4.2 QUALITY ASSURANCE AND COMPLIANCE

The function of enacting and evaluating policies and guidelines to provide adequate confidence that the hospital will fulfill requirements for quality.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
4.2.8	<p>Patient Accident/Incident Reports – No Claim Filed (Under Age 18) Records relating to accidents/incidents involving patients younger than age 18 in the course of receiving health-related services, and where claims for damages are <u>not</u> expected or likely to be filed. Includes, but is not limited to, reports and investigations.</p> <p>Excludes hazardous materials accidents and incidents covered by GS50-19-03. Excludes accidents/incidents involving non-patients covered by GS50-06C-03. Excludes patient accident/incident reports covered by HO55-03Q-01.</p>	HO2011-116 Rev. 0	Retain for 8 years after injury/accident <i>and</i> 8 years after juvenile attains age 18 <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
4.2.9	<p>Radiation Protection Program Audits and Reviews Records relating to audits and reviews of radiation protection program content and implementation as required by WAC 246-221-005.</p> <p><i>Note: WAC 246-221-230(9)(e) requires the retention of radiation protection program audit and review records for 3 years.</i></p>	HO55-03L-47 Rev. 1	Retain for 3 years after completion of audit/review <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
4.2.10	<p>Standard of Care Records relating to standard of care documentation detailing clinical guidelines and/or protocols for the assessment and treatment of particular conditions.</p>	HO2011-117 Rev. 0	Retain for 8 years after obsolete or superseded <i>then</i> Transfer to Washington State Archives.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR



4.3 REPORTING

The activity of reporting information to external agencies or organizations.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
4.3.1	Baptismal Registries Logs or registries documenting baptisms performed in the hospital.	HO2011-118 Rev. 0	Retain until no longer needed for agency business <i>then</i> Transfer to Washington State Archives.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR
4.3.2	Birth/Death Registers Registers documenting summary information about births or deaths which have occurred in the hospital.	HO2011-119 Rev. 0	Retain until no longer needed for agency business <i>then</i> Transfer to Washington State Archives.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR
4.3.3	Vital Statistics Supporting Documentation Records relating to the reporting of vital events/statistics to the Washington State Department of Health.	HO2011-120 Rev. 0	Retain for 1 year after vital event reported to Washington State Department of Health <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



5. HUMAN RESOURCE MANAGEMENT

The function of managing the hospitals' workforce, where not covered by *CORE*.

5.1 PERFORMANCE MANAGEMENT

The activity of assessing and directing employee progress toward performance goals.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
5.1.1	Staff Plans/Schedules Records relating to staff plans and schedules for nurses, social workers, and/or other medical staff. Excludes physician call schedules covered by HO55-03E-02.	HO2011-121 Rev. 0	Retain until completion of Joint Commission on Accreditation of Healthcare Organizations audit <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
5.1.2	Physician Call Schedules Schedules documenting on-call schedules for hospital physicians. Excludes staff plans/schedules covered by HO2011-121. <i>Note: 42 CFR 489.20(r)(1-3) requires the retention of physician on-call schedules.</i>	HO55-03E-02 Rev. 1	Retain for 5 years after superseded <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
5.1.3	Radiation Safety Officer Records relating to the authority, duties, and responsibilities of the radiation safety officer. <i>Note: WAC 246-240-551(2) requires the retention of records relating to the authority, duties, and responsibilities of radiation safety officers until termination/expiration of medical use license.</i>	HO2011-122 Rev. 0	Retain until termination/expiration of medical use license <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



5.2 PERSONNEL

The activity of documenting and individual's employment with the local government agency. Includes volunteers.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
5.2.1	<p>Blood/Tissue Bank Employees Quality assurance and training records relating to blood/tissue bank employees. Excludes personnel files covered by GS50-04B-06. <i>Note: The College of American Pathologists recommends the retention of blood bank records relating to employees for 10 years.</i></p>	HO2011-123 Rev. 0	Retain for 10 years after termination of employment <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



5.3 STAFF DEVELOPMENT/TRAINING

The activity of enhancing employees' competencies and skills through programs and training.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
5.3.1	<p>Instruction/Training – Occupational Doses Records relating to the provision of instruction on occupational radiation doses for employees who work in radiation areas for which notification of destruction eligibility has been received from the Department of Health.</p> <p><i>Note: WAC 246-222-030(2) requires the retention of records relating to worker receipt of instructions regarding occupational doses of radiation until further notice from Washington State Department of Health.</i></p>	HO55-03L-61 Rev. 1	Retain until no longer needed for agency business <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
5.3.2	<p>Instruction/Training – Radiation Protection Records documenting the provision of safety instruction to personnel who operate remote afterloader units, teletherapy units, or gamma stereotactic radiosurgery units in accordance with WAC 246-240-204, 246-240-263, or 246-240-360, or who care for patients receiving brachytherapy, radiopharmaceutical therapy, and/or teletherapy.</p> <p><i>Note: WAC 246-240-590 requires the retention of records of safety instruction for 3 years.</i></p>	HO55-03L-63 Rev. 1	Retain for 3 years after date instruction completed <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
5.3.3	<p>Medical Use Licenses Records relating to byproduct material medical use licenses obtained by individuals in accordance with 10 CFR 35.11.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Byproduct material medical use licenses; • Radioactive materials general and specific licenses. 	HO55-03L-06 Rev. 1	Retain for 8 years after termination of employment <i>then</i> Destroy..	NON-ARCHIVAL ESSENTIAL OPR



6. LABORATORY AND PATHOLOGY MANAGEMENT

The function of providing laboratory and pathology services for the assessment and diagnosis of illness, disease, and death.

Note: For the retention of laboratory and/or pathology specimens, Washington State Archives recommends agencies refer to the retention guidelines issued by the College of American Pathologists, the Clinical Laboratory Improvement Amendments (CLIA), and applicable state and federal codes and regulations.

6.1 LABORATORY (GENERAL)

The activity concerned with the overall maintenance and operation of laboratories and laboratory equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.1.1	Accession/Test Logs Logs documenting specimens received by a laboratory and test(s) ordered and/or performed in accordance with 42 CFR 493.1105. Excludes laboratory and pathology specimens.	HO55-03N-05 Rev. 1	Retain for 2 years after date of entry <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
6.1.2	Immunohematology – Non-Transfusion Related Records relating to patient testing and quality control for non-transfusion services, including instrument function checks, maintenance, and temperature records. <i>Note: 42 CFR 493.1105(a)(3)(ii) requires the retention of non-transfusion related immunohematology records for at least 2 years.</i>	HO2011-124 Rev. 0	Retain for 2 years after date of document <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



6.1 LABORATORY (GENERAL)

The activity concerned with the overall maintenance and operation of laboratories and laboratory equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.1.3	<p><i>Immunohematology – Transfusion Related</i></p> <p>Records relating to patient testing and quality control for transfusion services, including donor processing, compatibility testing, and transfusion reaction investigations.</p> <p><i>Note: 42 CFR 493.1105(a)(3)(ii) requires the retention of transfusion-related immunohematology records for 5 years after records of processing are completed, or 6 months after the latest expiration date for individual product, whichever is longer.</i></p>	HO2011-125 Rev. 0	Retain for 5 years after records of processing completed <i>and</i> 6 months after latest expiration date for individual product <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



6.1 LABORATORY (GENERAL)

The activity concerned with the overall maintenance and operation of laboratories and laboratory equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.1.4	<p>Quality Control and Assurance – Transfusion Services Records relating to medical test site quality control programs for transfusion services.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Performance specifications; • Requisitions; • Instrument documentation; • Specimen identification and tracking records. <p>Excludes laboratory specimens.</p> <p>Excludes quality control and assurance for non-transfusion tests covered by HO2011-126.</p> <p><i>Note: WAC 246-338-070 requires the retention of transfusion services quality control and assurance records for 5 years.</i></p>	HO55-03H-05 Rev. 1	<p>Retain for 5 years after conclusion of quality control testing <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



6.1 LABORATORY (GENERAL)

The activity concerned with the overall maintenance and operation of laboratories and laboratory equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.1.5	<p>Quality Control and Assurance – Non-Transfusion Services Records relating to quality control programs for non-transfusion services enacted to ensure that accurate test results are reported.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Performance specifications; • Requisitions; • Instrument documentation; • Specimen identification and tracking records. <p>Excludes laboratory specimens.</p> <p>Excludes quality control and assurance for transfusion tests covered by HO55-03H-05.</p> <p><i>Note: WAC 246-338-070 requires the retention of non-transfusion quality control and assurance records for 2 years.</i></p>	HO2011-126 Rev. 0	Retain for 2 years after conclusion of quality control testing <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
6.1.6	<p>Test Procedures Records relating to test procedures implemented by the laboratory in accordance with 42 CFR 493.1105</p> <p><i>Note: WAC 246-338-070, the Clinical Laboratory Improvement Amendments (CLIA), and the College of American Pathologists require or recommend the retention of test procedures for 2 years.</i></p>	HO2011-127 Rev. 0	Retain for 2 years after procedure has been discontinued <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



6.1 LABORATORY (GENERAL)

The activity concerned with the overall maintenance and operation of laboratories and laboratory equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.1.7	<p>Test Reports – General Reports and results for specimens tested or examined by a pathologist and where not covered by a more specific series.</p> <p>Excludes pathology test reports covered by HO2011-128.</p> <p>Excludes transfusion test reports covered by HO2011-129.</p> <p><i>Note: WAC 246-338-070 and the Clinical Laboratory Improvement Amendments (CLIA) require the retention of general test reports for 2 years.</i></p>	HO55-03H-07 Rev. 1	Retain for 2 years after examination of the slide <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
6.1.8	<p>Test Reports – Pathology Final, preliminary, and corrected reports for pathology tests, including cytology, histopathology, and oral pathology reports.</p> <p>Excludes general test reports covered by HO55-03H-07.</p> <p>Excludes transfusion test reports covered by HO2011-129.</p> <p><i>Note: WAC 246-338-070 requires the retention of pathology test reports for 10 years.</i></p>	HO2011-128 Rev. 0	Retain for 10 years after date of report <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



6.1 LABORATORY (GENERAL)

The activity concerned with the overall maintenance and operation of laboratories and laboratory equipment.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.1.9	<p>Test Reports – Transfusion Services Reports and results for transfusion-related specimens tested/examined by a pathologist. Excludes general test reports covered by HO55-01H-07. Excludes pathology test reports covered by HO2011-128. <i>Note: WAC 246-338-070 requires the retention of transfusion services reports for 5 years.</i></p>	HO2011-129 Rev. 0	Retain for 5 years after date of report/results <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
6.1.10	<p>Transfusion Services – General Administration Records relating to the provision of transfusion services. Includes, but is not limited to: <ul style="list-style-type: none">• Test requisitions or equivalent;• Test records and reports;• Quality control and assurance.<i>Note: WAC 246-338-070 requires the retention of records relating to transfusion services for 5 years.</i></p>	HO2011-130 Rev. 0	Retain for 5 years after conclusion of transfusion/testing <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



6.2 BLOOD/TISSUE BANKS

The activity of operating banks for the storage or preservation of blood, blood components, or tissue for later use in transfusions.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.2.1	<p>Donors – Deferred</p> <p>Records relating to donors who have been indefinitely deferred, permanently deferred, or placed under surveillance for the recipient’s protection.</p> <p><i>Note: The College of American Pathologists recommends the indefinite retention of blood bank records relating to indefinitely deferred donors, permanently deferred donors, or donors placed under surveillance.</i></p>	HO2011-131 Rev. 0	Retain for the life of the agency <i>then</i> Transfer to Washington State Archives.	ARCHIVAL (Permanent Retention) ESSENTIAL OPR
6.2.2	<p>Donors/Recipients – General</p> <p>Records documenting health, medical, and other information about donors and recipients.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Consent information;• Donor/recipient identifying information;• Medical and social history;• Typing and crossmatch information. <p><i>Note: The College of American Pathologists recommends the retention of blood bank records relating to donors and recipients for 10 years.</i></p>	HO2011-132 Rev. 0	Retain for 10 years after final donation/receipt <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OPR



6.2 BLOOD/TISSUE BANKS

The activity of operating banks for the storage or preservation of blood, blood components, or tissue for later use in transfusions.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.2.3	<p>Donors/Recipients – Specimens Records relating to specific specimens, including management and tracking, testing and typing, and recipient information.</p> <p><i>Note: The College of American Pathologists recommends the retention of blood bank records relating to specimens from blood donors and recipients for 7 days post-transfusion.</i></p>	HO2011-133 Rev. 0	Retain for 7 days after transfusion <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
6.2.4	<p>Quality Control (Blood/Tissue Banks) Records relating to quality control measures enacted in blood/tissue banks.</p> <p><i>Note: The College of American Pathologists recommends the retention of blood bank records relating to quality control for 5 years.</i></p>	HO2011-134 Rev. 0	Retain for 5 years after conclusion of quality control testing <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



6.3 CYTOGENETICS

The activity of conducting cytogenetic analysis to determine diagnosis.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.3.1	Diagnostic Images and Final Reports (Cytogenetics) Final reports and diagnostic images relating to cytogenetic examinations. <i>Note: The College of American Pathologists recommends the retention of cytogenetics diagnostic images and final reports for 20 years.</i>	HO2011-135 Rev. 0	Retain for 20 years after date of report <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
6.3.2	Gated Dot Plots/Histograms Records relating to gated dot plots and histograms used for flow cytometry. <i>Note: The College of American Pathologists recommends the retention of cytogenetics flow cytometry for 10 years.</i>	HO2011-136 Rev. 0	Retain for 10 years after examination <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



6.4 FORENSIC PATHOLOGY

The activity of examining corpses to determine cause of death.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
6.4.1	<p>Accession Logs Records documenting the receipt and management of specimens received for forensic examination.</p> <p><i>Note: The College of American Pathologists recommends the retention of forensic autopsy accession logs indefinitely.</i></p>	HO2011-137 Rev. 0	<p>Retain for the life of the agency <i>then</i> Transfer to Washington State Archives.</p>	<p>ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR</p>
6.4.2	<p>Cytology Reports Reports relating to cytology examinations.</p> <p><i>Note: WAC 246-338-070 requires the retention of cytology report for 10 years.</i></p>	HO2011-138 Rev. 0	<p>Retain for 10 years after date of report <i>then</i> Destroy.</p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>
6.4.3	<p>Gross Injury/Trauma Photographs and Negatives Records relating to photographs and/or negatives of gross injuries or trauma.</p> <p><i>Note: The College of American Pathologists recommends the retention of gross negatives and photographs indefinitely.</i></p>	HO2011-139 Rev. 0	<p>Retain for the life of the agency <i>then</i> Transfer to Washington State Archives.</p>	<p>ARCHIVAL (Permanent Retention) ESSENTIAL OPR</p>
6.4.4	<p>Test Reports – Forensic Final reports and slides relating to forensic autopsy examinations.</p> <p><i>Note: The College of American Pathologists recommends the retention of forensic reports and slides indefinitely.</i></p>	HO55-03A-02 Rev. 1	<p>Retain for the life of the agency <i>then</i> Transfer to Washington State Archives.</p>	<p>ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR</p>

SUPERSEDED



7. PHARMACY MANAGEMENT

The function relating to the management of pharmacies that are part of public hospitals.

7.1 ADMINISTRATION

The activity of providing for the general administration of public hospital pharmacies.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
7.1.1	<p>Patient Profile and Medication Records – Age 18 and Over Records relating to the filling and dispensing of medications, created in accordance with WAC 246-871-050(2), on each patient age 18 and over receiving prescription medication from the pharmacy.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Client details; • Parenteral products dispensed; • Dates and details of dispensations; • Pharmacist identification; • Client notes, diagnoses, and conditions; • Prescription and refill records. 	HO55-030-22 Rev. 1	<p>Retain for 8 years after last provision of health-related services <i>then</i> Destroy.</p>	NON-ARCHIVAL ESSENTIAL OPR



7.1 ADMINISTRATION

The activity of providing for the general administration of public hospital pharmacies.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
7.1.2	<p>Patient Profile and Medication Records – Under Age 18</p> <p>Records relating to the filling and dispensing of medications, created in accordance with WAC 246-871-050(2), on each patient under age 18 receiving prescription medication from the pharmacy.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Client details;• Parenteral products dispensed;• Dates and details of dispensations;• Pharmacist identification;• Client notes, diagnoses, and conditions;• Prescription and refill records.	HO2011-140 Rev. 0	Retain for 8 years after patient attains age 18 <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OPR
7.1.3	<p>Batch Data</p> <p>Records relating to laboratory data on each batch of drug received in accordance with WAC 246-895-150.</p>	HO55-030-12 Rev. 1	Retain for 50 years after completion/cessation of batch distribution <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



7.2 DRUG ACCOUNTABILITY

The activity of documenting the pharmacy's acquisition, use, and disposition of pharmaceutical drugs.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
7.2.1	Home Dialysis Program – Drug Shipment Records relating to the shipment of drugs to persons on home dialysis programs in accordance with WAC 246-905-040.	HO55-030-11 Rev. 1	Retain for 2 years after date of shipment <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
7.2.2	Legend Drug Orders Records relating to legend drug orders created in accordance with WAC 246-904-030.	HO55-03Y-02 Rev. 1	Retain for 6 years after date of purchase <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
7.2.3	Pharmaceutical Inventory Accountability Records relating to the pharmacy's acquisition, transfer, distribution, and/or destruction of pharmaceuticals, including controlled substances. Includes, but is not limited to: <ul style="list-style-type: none">• Destruction records;• Dispensing records created in accordance with 21 CFR 1306.26 or 21 CFR 10.34.04;• Drug registers and inventories;• Receipt and distribution records (e.g. invoices, orders, receipts, prescriptions);• Transfer records;• Records of destruction as required by WAC 246-873-080(7)(e).	HO55-030-09 Rev. 1	Retain for 6 years after date of destruction/disposition <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



7.2 DRUG ACCOUNTABILITY

The activity of documenting the pharmacy's acquisition, use, and disposition of pharmaceutical drugs.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
7.2.4	Pharmaceutical Wholesaler Inventories Inventory and transaction records maintained by wholesale drug distributors regarding the receipt, distribution, or disposition of prescription drugs in accordance with WAC 246-879-040.	HO55-030-19 Rev. 1	Retain for 2 years after date of creation <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
7.2.5	Schedule V Drugs Dispensed Records documenting the dispensing of Schedule V drugs.	HO55-030-29 Rev. 1	Retain for 6 years after date dispensed <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR

SUPERSEDED



7.3 QUALITY ASSURANCE AND CONTROL

The activity of adopting and implementing procedures to provide for quality assurance and control.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
7.3.1	Compounding Practices Reports relating to the evaluation and implementation of compounding best practices and procedures in compounding pharmacies.	HO55-030-04 Rev. 1	Retain for 2 years after date of report <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
7.3.2	Drug Distribution Errors Reports created in accordance with WAC 246-873-080(11) documenting drug distribution errors reported to a prescribing practitioner and/or pharmacy.	HO55-030-10 Rev. 1	Retain for 6 years after date of report <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
7.3.3	Home Dialysis Program Quality Assurance Records created in accordance with WAC 246-905-050 relating to quality assurance programs for home dialysis and related drug distribution error, loss, damage, and theft records.	HO2011-141 Rev. 0	Retain for 6 years after date of shipment <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
7.3.4	Monthly Inspections – Hospital/Nursing Care Units Records relating to the monthly inspection of nursing care units or other areas of hospitals in which medications are dispensed, administered or stored in accordance with WAC 246-873-080(1)(b).	HO55-030-15 Rev. 1	Retain for 3 years after date of inspection <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM



7.3 QUALITY ASSURANCE AND CONTROL

The activity of adopting and implementing procedures to provide for quality assurance and control.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
7.3.5	<p>Parenteral Product Contamination Testing</p> <p>Records relating to the testing of parenteral products for microbial contamination and other inadequacies in accordance with WAC 246-871-080.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Quality assurance records documenting medication errors, adverse drug reactions, patient satisfaction, and product sterility;• Documentation of sampling tests for contamination;• End product testing where bulk compounding of parenteral solutions is performed utilizing non-sterile chemicals.• Documentation justifying chosen expiration dates for compounded parenteral products.	HO55-030-18 Rev. 1	Retain for 6 years after date of document <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
7.3.6	<p>Pharmaceutical Complaints and Investigations</p> <p>Records of all written and oral complaints regarding each pharmaceutical product, and related investigation records produced in accordance with WAC 246-895-160.</p>	HO55-030-03 Rev. 1	Retain for 2 years after distribution of drug has been completed <i>and</i> 1 year after expiration of drug <i>then</i> Transfer to Washington State Archives.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR



7.3 QUALITY ASSURANCE AND CONTROL

The activity of adopting and implementing procedures to provide for quality assurance and control.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
7.3.7	Pharmacy Policy, Procedure, and Training Manuals Manuals of pharmacy policies and procedures which ensure patient health, safety, and welfare, as well as training manuals for pharmacy employees, as described in WAC 246-871-050.	HO2011-142 Rev. 0	Retain for 2 years after superseded <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OFM
7.3.8	Regulated Chemical Tableting Transaction and Drug Enforcement Agency reporting records for regulated transactions involving listed chemicals, a tableting machine, or an encapsulating machine in accordance with 21 CFR 1310.03, 1310.04 and 1310.05.	HO55-030-26 Rev. 1	Retain for 2 years after date of transaction <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
7.3.9	Returned Pharmaceuticals Records relating to pharmaceuticals returned to the pharmacy and any related examination or testing performed on such pharmaceuticals in accordance with WAC 246-895-060(10).	HO55-030-25 Rev. 1	Retain until termination of pharmacy license <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
7.3.10	Therapeutically Equivalent Drug Substitution Records documenting prior authorization for therapeutically equivalent drug substitution in accordance with WAC 246-899-030(3).	HO55-030-31 Rev. 1	Retain for 10 years after patient's last discharge <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



8. RESEARCH MANAGEMENT

The function of managing or performing medical research, including Institutional Review Board activities, and clinical or device trials.

See **CORE Financial Management – Grants** for financial records relating to grants.

8.1 CLINICAL TRIALS

The activity of performing and/or sponsoring clinical trials to evaluate the safety and efficacy of investigational drugs or medical devices.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
8.1.1	Bioavailability/Bioequivalence Samples – Food and Drug Administration Application Approved Reserve samples of any test articles and reference standards used in conducting in vivo or in vitro bioavailability/bioequivalence studies where a Food and Drug Administration application has been approved, retained in accordance with 21 CFR 320.63 or 320.38.	HO2011-143 Rev. 0	Retain for 5 years after date on which Food and Drug Administration application or supplemental application is approved <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OPR
8.1.2	Bioavailability/Bioequivalence Samples – No Food and Drug Administration Application Approved Reserve samples of any test articles and reference standards used in conducting in vivo or in vitro bioavailability/bioequivalence studies where no Food and Drug Administration application has been approved, retained in accordance with 21 CFR 320.63 or 320.38.	HO2011-144 Rev. 0	Retain for 5 years after date of completion of the bioavailability study <i>then</i> Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



8.1 CLINICAL TRIALS

The activity of performing and/or sponsoring clinical trials to evaluate the safety and efficacy of investigational drugs or medical devices.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
8.1.3	<p>Device Trials Records relating to investigational medical device clinical trials retained in accordance with 21 CFR 812.140.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> Investigator records of receipt, use, shipment, or disposition of an investigational device; Investigator protocols and documentation showing dates and reasons of deviation from protocol; Sponsor records of device shipment and disposition; Signed investigator agreements; Sponsor records concerning adverse device effects; Other records required to be maintained by the Food and Drug Administration. 	HO2011-145 Rev. 0	<p>Retain for 2 years after date investigation completed/terminated <i>and</i> 2 years after records are no longer required as supporting documentation for premarket approval application or a notice of completion of a product development protocol <i>then</i> Destroy.</p>	NON-ARCHIVAL ESSENTIAL OPR
8.1.4	<p>Trial Drug Management – Food and Drug Administration Application Approved Records relating to the acquisition, shipment, or disposition of investigational drugs for which an Food and Drug Administration application has been approved.</p> <p><i>Note: 21 CFR 312.57 requires the retention of records and reports showing the receipt, shipment, or other disposition of investigational drugs for 2 years after a Food and Drug Administration marketing application is approved.</i></p>	HO2011-146 Rev. 0	<p>Retain for 2 years after date marketing application is approved <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR



8.1 CLINICAL TRIALS

The activity of performing and/or sponsoring clinical trials to evaluate the safety and efficacy of investigational drugs or medical devices.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
8.1.5	<p>Trial Drug Management – No Food and Drug Administration Application Approved</p> <p>Records relating to the acquisition, shipment, or disposition of investigational drugs for which an Food and Drug Administration application has not been approved.</p> <p><i>Note: 21 CFR 312.57 requires the retention of records and reports showing the receipt, shipment, or other disposition of investigational drugs for 2 years after shipment and deliver of the drug for investigational use is discontinued and the Food and Drug Administration has been notified.</i></p>	HO2011-147 Rev. 0	<p>Retain for 2 years after investigation is discontinued and the Food and Drug Administration notified <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR
8.1.6	<p>Investigators' Financial Interest</p> <p>Records relating to the financing of clinical investigations or bioequivalence studies from which data are intended to be submitted to the Food and Drug Administration as part of an application for market approval, retained in accordance with 21 CFR 320.36(b) or 21 CFR 54.6.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> Records showing financial interest or arrangement paid to investigators by sponsors as described in 21 CFR 54.4(a)(3)(i); Records showing significant payments made by the sponsor to the investigator as described in 21 CFR 54.4(a)(3)(ii); Records showing financial interests held by clinical investigators as described in 21 CFR 54.4 (a)(3)(iii). 	HO2011-148 Rev. 0	<p>Retain for 6 years after conclusion of pertinent investigational activities <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR



8.1 CLINICAL TRIALS

The activity of performing and/or sponsoring clinical trials to evaluate the safety and efficacy of investigational drugs or medical devices.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
8.1.7	<p><i>In Vivo/In Vitro Batch Tests</i> Records relating to in vivo or in vitro tests conducted on any marketed batch of a drug product to assure it meets bioequivalence requirements, retained in accordance with 21 CFR 320.36(a).</p>	HO2011-149 Rev. 0	<p>Retain for 2 years after expiration date of the batch <i>and</i> 2 years after submitted to the Food and Drug Administration <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR
8.1.8	<p><i>Subject Case Histories – Food and Drug Administration Application Filed (Clinical Trials)</i> Records relating to individuals administered investigational drugs or employed as a control in a drug investigation for which a Food and Drug Administration application has been filed.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Case report forms and supporting data; • Signed and dated consent forms; • Medical records. <p><i>Note: 21 CFR 312.64 requires the retention of drug disposition and case history records for 2 years following the date an Food and Drug Administration marketing applications is approved for the drug being investigated.</i></p>	HO2011-150 Rev. 0	<p>Retain for 2 years after date marketing application is approved <i>then</i> Destroy.</p>	NON-ARCHIVAL ESSENTIAL OPR



8.1 CLINICAL TRIALS

The activity of performing and/or sponsoring clinical trials to evaluate the safety and efficacy of investigational drugs or medical devices.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
8.1.9	<p>Subject Case Histories – Food and Drug Administration Application Not Filed (Clinical Trials)</p> <p>Records relating to individuals administered investigational drugs or employed as a control in a drug investigation for which a Food and Drug Administration application has not been filed.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Case report forms and supporting data; • Signed and dated consent forms; • Medical records. <p><i>Note: 21 CFR 312.64 requires the retention of drug disposition and case history records for 2 years after the investigation is discontinued and the Food and Drug Administration is notified.</i></p>	HO2011-151 Rev. 0	<p>Retain for 2 years after investigation is discontinued and the Food and Drug Administration is notified <i>then</i> Destroy</p>	NON-ARCHIVAL ESSENTIAL OPR



8.2 DIAGNOSTIC RESEARCH

The activity relating to conducting diagnostic research.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
8.2.1	<p>Diagnostic Research Records created in the course of performing diagnostic testing for research purposes.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Patient files, including medical histories;• Diagnostic research images.	HO2011-152 Rev. 0	Retain for 8 years after conclusion of research activities <i>then</i> Destroy.	NON-ARCHIVAL ESSENTIAL OPR

SUPERSEDED



8.3 INSTITUTIONAL REVIEW BOARDS

The activity relating to the management and oversight of human subject research.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
8.3.1	<p><i>Institutional Review Boards – General Board Records</i> Records relating to institutional review boards and their activities in the monitoring and oversight of human subject research as described in 45 CFR 46.115.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Approved sample consent documents; • IRB member lists and credentialing; • IRB reviews of research proposals and ongoing review activities; • Minutes of IRB meetings; • Reports of unanticipated problems involving risks to subjects or others; • Scientific evaluations. <p><i>Note: 45 CFR 46.115(a) requires the retention of general IRB records for at least 3 years.</i></p>	HO55-03U-04 Rev. 1	<p>Retain for 3 years after date of document <i>then</i> Transfer to Washington State Archives.</p>	<p>ARCHIVAL (Permanent Retention) ESSENTIAL OPR</p>
8.3.2	<p><i>Institutional Review Boards – Principal Investigator Records</i> Records relating to investigator activities in human subject research.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Documentation of uses and disclosures; • Authorization/consent forms; • Business partner contracts; • Notices of practice; • Responses to requests to amend or correct information; • Patient statements of disagreements and complaints. <p><i>Note: 45 CFR 45.115 requires the retention of principal investigators' records for 6 years after completion of research.</i></p>	HO55-03U-07 Rev. 1	<p>Retain for 6 years after completion of research <i>then</i> Destroy.</p>	<p>NON-ARCHIVAL ESSENTIAL OPR</p>



8.3 INSTITUTIONAL REVIEW BOARDS

The activity relating to the management and oversight of human subject research.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
8.3.3	<p><i>Institutional Review Boards – Research Conducted</i> IRB board records which relate to specific research conducted or that documents decisions pertaining to committee actions on research conducted.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Correspondence between the IRB and investigators/researchers; • Progress reports provided to IRB; • Reports of unanticipated problems involving risks to subjects or others; • Injury reports; • Significant new findings provided to subjects. <p><i>Note: 45 CFR 46.115(b) requires the retention of IRB records relating to research conducted for at least 3 years after completion of the research.</i></p>	HO55-03U-02 Rev. 1	<p>Retain for 3 years after completion/termination of research <i>then</i> Arrange for appraisal by and selective retention by Washington State Archives.</p>	<p>ARCHIVAL (Appraisal Required) ESSENTIAL OPR</p>



GLOSSARY

Appraisal

The process of determining the value and disposition of records based on their current administrative, legal, and fiscal use; their evidential and informational or research value; and their relationship to other records.

Archival – See ***Archival (Appraisal Required)*** and ***Archival (Permanent Retention)***.

Archival (Appraisal Required)

Public records which may possess enduring legal and/or historic value and must be appraised by the Washington State Archives on an individual basis.

Public records will be evaluated, sampled, and weeded according to archival principles by archivists from Washington State Archives (WSA). Records not selected for retention by WSA may be disposed of after appraisal.

Archival (Permanent Retention)

Public records which possess enduring legal and/or historic value and must not be destroyed. Local government agencies must either transfer these records to Washington State Archives or retain and preserve these records according to archival best practices until such time as they are transferred to Washington State Archives (WSA).

WSA will not sample, weed, or otherwise dispose of records fitting the record series description designated as “Archival (Permanent Retention)” other than the removal of duplicates. Master indexes, lists, registers, tracking systems, databases and other finding aids for public records designated as Archival should be retained with the records and transferred to Washington State Archives.

Disposition

Actions taken with records when they are no longer required to be retained by the agency.

Possible disposition actions include transfer to Washington State Archives and destruction.

Disposition Authority Number (DAN)

Control numbers systematically assigned to record series or records retention schedules when they are approved by the Local Records Committee.



Essential Records

Public records that local government agencies must have in order to maintain or resume business continuity following a disaster. While the retention requirements for essential records may range from very short-term to archival, these records are necessary for an agency to resume its **CORE** functions following a disaster.

Security backups of these public records should be created and may be deposited with Washington State Archives in accordance with chapter 40.10 RCW. Copies of master indexes, lists, registers, tracking systems, databases and other finding aids should also be transferred with the records.

Local Records Committee

The committee established by RCW 40.14.070 to review and approve disposition of local government records.

Its three members include the State Archivist and one representative each from the Offices of the Attorney General and the State Auditor.

Migration

The periodic transfer of data from one electronic system to another in order to ensure continued integrity and accessibility of data despite changes over time in hardware, software, and storage media.

SUPERSEDED

Non-Archival

Public records which do not possess sufficient historic value to be designated as “Archival”. Agencies must retain these records for the minimum retention period specified by the appropriate, current records retention schedule.

Agencies should destroy these records after their minimum retention period expires, provided that the records are not required for litigation, public records requests, or other purposes required by law.

Non-Essential Records

Public records which are not required in order for an agency to resume its **CORE** functions following a disaster, as described in chapter 40.10 RCW.

Obsolete

Public records which are no longer relevant to current program or primary functional responsibilities of a department or office.

Office of Record

The group, department, or office within an agency responsible for maintaining a primary record from creation (or receipt) through disposition.



OFM (Office Files and Memoranda)

Public records which have been designated as “Office Files and Memoranda” for the purposes of RCW 40.14.010.

RCW 40.14.010 – Definition and classification of public records.

(2) “Office files and memoranda include such records as correspondence, exhibits, drawings, maps, completed forms, or documents not above defined and classified as official public records; duplicate copies of official public records filed with any agency of the state of Washington; documents and reports made for the internal administration of the office to which they pertain but not required by law to be filed or kept with such agency; and other documents or records as determined by the records committee to be office files and memoranda.”

OPR (Official Public Records)

Public records which have been designated as “Official Public Records” for the purposes of RCW 40.14.010.

RCW 40.14.010 – Definition and classification of public records.

(1) “Official public records shall include all original vouchers, receipts, and other documents necessary to isolate and prove the validity of every transaction relating to the receipt, use, and disposition of all public property and public income from all sources whatsoever; all agreements and contracts to which the state of Washington or any agency thereof may be a party; all fidelity, surety, and performance bonds; all claims filed against the state of Washington or any agency thereof; all records or documents required by law to be filed with or kept by any agency of the state of Washington; ... and all other documents or records determined by the records committee... to be official public records.”

Permanent – See Archival (Permanent Retention) and Non-Archival.

Potentially Archival or **Potential Archival Value** – See Archival (Appraisal Required).

Primary Records

The original record (whether created or received by the agency) which serves as the official record, and must be retained in accordance with a current approved records retention schedule.

Public Records

RCW 40.14.010 – Definition and classification of public records.

“... The term “public records” shall include any paper, correspondence, completed form, bound record book, photograph, film, sound recording, map drawing, machine-readable material, compact disc meeting current industry ISO specifications, or other document, regardless of physical form or characteristics, and including such copies thereof, that have been made by or received by any agency of the state of Washington in connection with the transaction of public business...”



Record series

A group of records, performing a specific function, which is used as a unit, filed as a unit, and may be transferred or destroyed as a unit. A record series may consist of a single type of form or a number of different types of documents that are filed together to document a specific function.

Secondary Records

Copies (or duplicates) of the agency's primary records used for specific legal, fiscal, or administrative purposes.

Secondary records exist for convenience of reference, or for informational purposes, and may be discarded when no longer needed for agency business in accordance with GS50-02-04.

Security Microfilm

Silver duplicate or first-generation microfilm which is stored at Washington State Archives under strictly-controlled environmental conditions and serves as an emergency/disaster back-up, in accordance with chapter 40.10 RCW.

Washington State Archives provides security microfilm storage and inspection services to local government agencies.

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For assistance and advice in applying this records retention schedule,

please contact Washington State Archives at:

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recordsmanagement@sos.wa.gov

or contact your Regional Archivist.