



**This schedule applies to: Office of the Secretary of State**

**Scope of records retention schedule**

This records retention schedule authorizes the destruction/transfer of the public records of the Office of the Secretary of State relating to the unique functions of the Secretary of State. The schedule is to be used in conjunction with the *State Government General Records Retention Schedule (SGGRRS)*, which authorizes the destruction/transfer of public records common to all state agencies.

**Disposition of public records**

Public records covered by records series within this records retention schedule (regardless of format) must be retained for the minimum retention period as specified in this schedule. Washington State Archives strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of state resources.

Public records designated as “Archival (Permanent Retention)” must not be destroyed. Records designated as “Archival (Appraisal Required)” must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency’s policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency’s policies and procedures for public records request.

**Revocation of previously issued records retention schedules**

All previously issued records retention schedules to the Office of the Secretary of State (including abolished state agencies whose records were transferred to Washington State Archives in accordance with RCW 40.14.030(1)) are revoked. The Office of the Secretary of State must ensure that the retention and disposition of public records is in accordance with current, approved records retention schedules.

**Authority**

This records retention schedule was approved by the State Records Committee in accordance with RCW 40.14.050 on April 2, 2025.

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## REVISION HISTORY

Version	Date of Approval	Extent of Revision
1.0	December 3, 2014	Consolidation and revision of all existing records retention schedules.
1.1	June 3, 2015	Minor revision to the Corporations Registration section.
1.2	June 5, 2019	Minor revisions throughout the schedule.
1.3	December 6, 2023	Minor revisions to the Elections Management section.
1.4	April 2, 2025	Minor revisions to Elections Management and Legacy Records sections.

For assistance and advice in applying this records retention schedule,  
please contact the Office of the Secretary of State’s Records Officer

or Washington State Archives at:

[recordsmanagement@sos.wa.gov](mailto:recordsmanagement@sos.wa.gov)



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## 1. ADDRESS CONFIDENTIALITY SERVICES

The function of providing address confidentiality services in accordance with chapter 40.24 RCW.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
92-05-50365 Rev. 1	<p><b>Address Confidentiality Program Exemptions – Granted</b></p> <p>Publicly accessible documents that allow exceptions to chapter 40.24 RCW. These consist of two files; the original of the exemption and copies of letters of transmittals to the heads of affected agencies; and documents generated in the development of the exemption - the original notification of intent (if any), the original request, correspondence, drafts of exemptions and, in cases where an appeal of a denial resulted in allowing an exemption, documents concerning the denial.</p>	<p><b>Retain</b> for 6 years after exemption repealed <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
92-05-50364 Rev. 5	<p><b>Address Confidentiality Program Participant Records</b></p> <p>Records relating to registered participants in the ACP program in accordance with chapter 40.24 RCW, RCW 9A.46.110, and chapter 434-840 WAC.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Cash receipt records;</li> <li>• Checklist of understanding;</li> <li>• Copy of participant cards;</li> <li>• Affidavit(s);</li> <li>• Correspondence;</li> <li>• Court orders.</li> </ul>	<p><b>Retain</b> for 6 years after terminated, cancelled, or expired <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 2. ARCHIVES AND RECORDS MANAGEMENT SERVICES

The functions of preserving and making accessible the public records with enduring legal, research, and historical value, authorizing the destruction/transfer of public records, and assisting state and local government agencies to manage public records.

### 2.1 ACCESS AND RESEARCH SERVICES

*The activities associated with providing access and research/reference services to the records of the State of Washington which have ongoing, enduring value.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
14-12-68716 Rev. 0	<p><b>Additional Collection Information from Comments/Crowdsourcing</b></p> <p>Records related to additional information about items in the collections received from researchers/general public.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Comments received via the Digital Archives website providing additional information about records within the collection.</li> </ul>	<p><b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
84-03-33529 Rev. 3	<p><b>Finding Aids</b></p> <p>Unpublished finding aids compiled/developed to assist access and use of the records within the archival collections.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Superseded box content lists/transmittals.</li> </ul> <p>Excludes:</p> <ul style="list-style-type: none"> <li>Published finding aids covered by <i>State Publications (DAN GS 15008)</i>;</li> <li>Arrangement and description records covered by <i>Collection Control Records (DAN 90-08-46642)</i>.</li> </ul>	<p><b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



**2.1 ACCESS AND RESEARCH SERVICES**

*The activities associated with providing access and research/reference services to the records of the State of Washington which have ongoing, enduring value.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
84-03-33522 Rev. 4	<p><b>Research/Reference Queries</b></p> <p>Records relating to research/reference queries received from the general public and government agencies concerning information contained within the archival collections. Also includes records documenting who from the general public and government agencies had physical access to which specific records within the archival collection in order to document the records’ chain of custody and for collection security purposes.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Correspondence/communications with the requester;</li> <li>• Other internal and external correspondence/communications related to the query;</li> <li>• Research Activity Records/Logs;</li> <li>• Records within the Research Request database;</li> <li>• Records related to the provision of certified copies of items with the archival collections in accordance with RCW 40.14.030 (1);</li> <li>• Research room visitor logs.</li> </ul>	<p><b>Retain</b> for 10 years after end of calendar year <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



## 2.2 ADVICE AND AGENCY SERVICES

*The activity of providing archives and records management advice to state and local government agencies and others.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
14-12-68717 Rev. 1	<p><b>Advice (Published Advice Development)</b></p> <p>Records relating to the research and development of published advice (such as guidelines, advice sheets, etc.) concerning the retention, appraisal, preservation, transfer, destruction, and other aspects of the management of public records.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Drafts documenting significant basic steps in the development process (such as drafts used in external consultation, etc.);</li> <li>• Records documenting consultation with stakeholders;</li> <li>• Copies of research materials used as the basis for the advice;</li> <li>• Related correspondence.</li> </ul> <p>Excludes:</p> <ul style="list-style-type: none"> <li>• Records covered by <i>Legal Advice and Issues (DAN GS 18003)</i>;</li> <li>• Records relating to the design/layout covered by <i>Publications – Development (DAN GS 15009)</i>;</li> <li>• Final published advice covered by <i>State Publications (DAN GS 15008)</i>.</li> </ul>	<p><b>Retain</b> until published advice obsolete/superseded <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM





## 2.2 ADVICE AND AGENCY SERVICES

*The activity of providing archives and records management advice to state and local government agencies and others.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
03-11-60601 Rev. 1	<p><b>Advice (Queries)</b></p> <p>Records relating to the provision of advice by Washington State Archives to state and local government agencies concerning the retention, appraisal, preservation, transfer, destruction, and other aspects of the management of public records.</p> <p>Also includes provision of advice to non-Washington government agencies (such as private companies, non-for-profit organizations, general public, interstate, and international archives, etc.).</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Queries seeking archives and records management advice;</li> <li>• Internal communication relating to advice queries;</li> <li>• Washington State Archives-initiated communication to agencies concerning archives and records management advice (such as listserv notifications, etc.).</li> </ul> <p>Excludes records covered by:</p> <ul style="list-style-type: none"> <li>• <i>Records Retention Schedules – Development/Review (DAN 83-10-33086);</i></li> <li>• <i>Research/Reference Queries (DAN 84-03-3352).</i></li> </ul>	<p><b>Retain</b> for 6 years after end of calendar year <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
92-01-49688 Rev. 4	<p><b>Essential Records Lists</b></p> <p>Lists of essential records submitted by agencies to Washington State Archives in accordance with RCW 40.10.010 where the lists are not included as part of the agency’s records retention schedule.</p> <p>Also includes changes and revisions submitted by agencies.</p> <p>Excludes records covered by <i>Records Retention Schedules – Approved (DAN 83-10-33085).</i></p>	<p><b>Retain</b> for 6 years after superseded <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR



**2.2 ADVICE AND AGENCY SERVICES**

*The activity of providing archives and records management advice to state and local government agencies and others.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
06-09-61318 Rev. 1	<p><b>Records Officer Appointment Notifications</b></p> <p>Records relating to the notifications received by Washington State Archives from state and local government agencies concerning the appointment of records officers for the agency.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Notifications received from state agencies in accordance with RCW 40.14.040;</li> <li>• Notifications received from local government agencies;</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 6 years after termination of appointment <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



**2.3 COLLECTION AND REPOSITORY MANAGEMENT**

*The activities associated with managing the intellectual and physical control of Washington State Archives’ records collections (such as archival collections, records center holdings; essential records holdings, etc.). Includes accessioning, processing, deaccessioning, and physical/digital repository management.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
90-08-46642 Rev. 4	<p><b>Collection Control Records</b></p> <p>Records documenting the legal transfer of custody, accessioning, arrangement and description, processing, and deaccessioning/dispositioning of records of state and local government (as well as private records acquired through donations/purchases) into collections controlled and/or managed by Washington State Archives (such as the archival collections, records center holdings, essential records holdings, etc.).</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Accession registers;</li> <li>• Collection files;</li> <li>• Control records within collection systems (such as ARC-CAT, RMS, and security microfilm databases, etc.);</li> <li>• Deeds of gift;</li> <li>• Records relating to digitization, indexing and preservation projects;</li> <li>• Records documenting the charging out of archival records to their agencies of origin for purposes expressly approved by the State Archivist;</li> <li>• Planned box disposition reports;</li> <li>• Security microfilm inspections;</li> <li>• Shelf checks/inventorying/location records;</li> <li>• Transmittals and box content lists.</li> </ul>	<p><b>Retain</b> for life of the archives <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



**2.4 DISPOSITION AUTHORIZATION**

*The activity of authorizing the destruction/transfer of public records of state and local government agencies.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
83-10-33085 Rev. 5	<p><b><i>Records Retention Schedules – Approved</i></b></p> <p>Records documenting the authorization granted to state and local government agencies for disposition (destruction/transfer) of public records.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Records retention schedules approved by the State Records Committee in accordance with RCW 40.14.050;</li> <li>Records retention schedules approved by the Local Records Committee in accordance with RCW 40.14.070;</li> <li>Final versions of revision guides/summaries of changes prepared by Washington State Archives documenting the changes between versions of records retention schedules;</li> <li>Indexes and finding aids such as Disposition Authority Number (DAN) assignment logs and records retention schedule databases (such as Genasys, LGGRRS, etc.).</li> </ul>	<p><b>Retain</b> until no longer needed for agency business <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



## 2.4 DISPOSITION AUTHORIZATION

*The activity of authorizing the destruction/transfer of public records of state and local government agencies.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
83-10-33086 Rev. 2	<p><b><i>Records Retention Schedules – Development/Review</i></b></p> <p>Records relating to the development and review of records retention schedules.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Drafts documenting significant basic steps in the development process (such as drafts used in external consultation, etc.);</li> <li>• Records documenting consultation with reference groups and stakeholders;</li> <li>• Appraisal decisions made as part of the retention schedule development process;</li> <li>• Copies of research materials used as the basis for retention periods;</li> <li>• Related correspondence/communications.</li> </ul> <p>Excludes records covered by:</p> <ul style="list-style-type: none"> <li>• <i>Legal Advice and Issues (DAN GS 18003);</i></li> <li>• <i>Records Retention Schedules – Approved (DAN 83-10-33085).</i></li> </ul>	<p><b>Retain</b> for 2 years after schedule superseded <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



### 3. CHARITIES REGISTRATION

The function of registering individuals, organizations, and commercial fundraisers that raise or collect contributions from the general public to support charitable activities. Also includes the function of registering charitable trusts in Washington State.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
90-09-46660 Rev. 7	<p><b><i>Charitable Solicitations Registration</i></b></p> <p>Records relating to the registration of charitable solicitations in accordance with the Charitable Solicitations Act (chapter 19.09 RCW).</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Applications for registration;</li> <li>• Renewals and amendments;</li> <li>• Registration records within the charities registration system.</li> </ul> <p>Excludes records relating to the incorporation of nonprofit organizations covered by <i>Corporate Filings (DAN 71-11-01807)</i>.</p>	<p><b>Retain</b> for 6 years after closed/failure to renew <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
01-11-60270 Rev. 4	<p><b><i>Charitable Trusts Registration</i></b></p> <p>Records relating to the registration of registration of charitable trusts in accordance with the Charitable Trusts Act (chapter 11.110 RCW).</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Applications for registration;</li> <li>• Renewals and amendments;</li> <li>• Registration records within the charities registration system.</li> </ul> <p>Excludes records covered by <i>Charitable Trusts Registration – Paper Records that have been Imaged (DAN 14-12-68729)</i>.</p>	<p><b>Retain</b> for 6 years after closed/failure to renew <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.</p>	<b>ARCHIVAL</b> (Permanent Retention) NON-ESSENTIAL OPR



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
14-12-68729 Rev. 0	<p><b><i>Charitable Trusts Registration – Paper Records that have been Imaged</i></b></p> <p>Paper-based source records relating to the registration of charitable trusts in accordance with the Charitable Trusts Act (chapter 11.110 RCW) that have been imaged, provided that:</p> <ul style="list-style-type: none"> <li>• Source records have been imaged in accordance with Washington State Archives' <i>Requirements for the Destruction of Non-Archival Paper Records after Imaging</i>; and</li> <li>• Digital images of charitable trusts registration have been retained in accordance with <i>Charitable Trusts Registration (DAN 01-11-60270)</i>.</li> </ul>	<p><b>Retain</b> until verification of successful conversion <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



#### 4. COMBINED FUND DRIVE COORDINATION

The function of providing whole of government coordination of the Combined Fund Drive across state government agencies.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
10-12-62327 Rev. 0	<b>Washington State Combined Fund Drive Contribution Form</b> The Washington State Combined Fund Drive Contribution Form which is filled out by the contributor to show how much money they would like to donate to a particular charity and how the money will be disbursed (monthly deduction or one-time payment).	<b>Retain</b> for 6 years after end of calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR
10-12-62328 Rev. 1	<b>Washington State Combined Fund Drive Financial Reports and Campaign Guides</b> Combined Fund Drive financial reports and campaign guides of charities.	<b>Retain</b> for 1 year after end of calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR





## 5. CORPORATIONS REGISTRATION

The function of registering corporations.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
11-04-62443 Rev. 1	<p><b>Annual Report/Renewal Reminder Notices</b></p> <p>Records relating to notices sent to registered corporations as a reminder to submit annual reports and renew registration with the Office of the Secretary of State.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Notices sent for renewals;</li> <li>• Notices returned as undeliverable.</li> </ul>	<p><b>Retain</b> for 2 years after end of month <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
94-06-53770 Rev. 3	<p><b>Apostilles and Certificates</b></p> <p>Records relating to correspondence from constituents regarding the Certificate and Apostille process.</p>	<p><b>Retain</b> for 6 years after end of month <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
71-11-01807 Rev. 7	<p><b>Corporate Filings</b> Documents filed with the Office of the Secretary of State by corporations, nonprofits, LLP’s, LP’s, LLLP’s, LLC’s, and general partnerships. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Articles of Incorporation (including amendments);</li> <li>Mergers/Dissolutions/Reinstatements;</li> <li>Annual Reports (including initial reports and amended reports);</li> <li>License Renewals;</li> <li>Statements of Change;</li> <li>Resignations of Officers and Registered Agents.</li> </ul> <p>Excludes records covered by:</p> <ul style="list-style-type: none"> <li><i>Corporate Filings – Paper Records that have been Imaged (DAN 15-06-68748).</i></li> </ul>	<p><b>Retain</b> for 10 years after date of dissolution or received date, whichever is sooner <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>
15-06-68748 Rev. 1	<p><b>Corporate Filings – Paper Records that have been Imaged</b> Paper-based source records filed with the Office of the Secretary of State by corporations, nonprofits, LLP’s, LP’s, LLLP’s, LLC’s, and general partnerships that have been imaged, provided that:</p> <ul style="list-style-type: none"> <li>Source records have been imaged in accordance with Washington State Archives’ <i>Requirements for the Destruction of Non-Archival Paper Records after Imaging</i>; and</li> <li>Digital images of the corporate filings have been retained in accordance with <i>Corporate Filings (DAN 71-11-01807)</i>.</li> </ul>	<p><b>Retain</b> until verification of successful conversion <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
04-12-60841 Rev. 0	<b>Digital Signature Files</b> Contains Certificate of Authority and Certified Operative personnel and other records relating to the Washington Electronic Authentication Act as required by chapter 19.34 RCW.	<b>Retain</b> for 10 year after failure to renew <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR
04-12-60840 Rev. 1	<b>Incorporation Name Reservation Files</b> Name reservation filed by potential corporations to reserve a company's name.	<b>Retain</b> for 6 years after requesting name <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
99-09-59286 Rev. 1	<b>International Student Exchange Agency (ISEA)</b> Records relating to registration and re-registration of ISEA applications. ISEAs apply and re-apply for registration on an annual basis. Re-registration is not based on prior registration. ISEAs are typically registered with this office (Corporations) prior to placing foreign exchange students into secondary schools.	<b>Retain</b> for 6 years after June 15 <sup>th</sup> each year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
09-04-61994 Rev. 1	<b>Notice of Incomplete Filing</b> Records relating to letters generated by the filing system to the applicant regarding the rejection of documents for filings in the Corporations and Charities Division.	<b>Retain</b> for 2 years after end of month <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
84-02-33458 Rev. 1	<b>Summons and Complaints</b> Notice that a summons/complaint or other legal documents have been filed against a nonresident motorist, corporation, or entity in the state of Washington, served on the Secretary of State as an agent for the said motorist, corporation, or entity.	<b>Retain</b> for 6 years after date of issue <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
04-02-60656 Rev. 3	<b>Trademarks</b> Records documenting the registration of unique marks, symbols, or names used to identify a specific project or service in accordance with chapter 19.77 RCW.	<b>Retain</b> for 6 years after expiration of trademark <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR



## 6. DOMESTIC PARTNERSHIPS REGISTRATION

The function of registering domestic partnerships.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
07-06-61537 Rev. 2	<p><b><i>Domestic Partnerships Registration Documentation</i></b> Documents filed with the Office of the Secretary of State in relation to registered domestic partnerships in accordance with chapter 26.60 RCW. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Declarations of state registered domestic partnerships;</li> <li>• Database records relating to domestic partnership registration;</li> <li>• Notices and affidavits of state registered domestic partnerships.</li> </ul>	<p><b>Retain</b> for 50 years after date of filing <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



## 7. ELECTION MANAGEMENT

The function of administrating elections and voter registration.

### 7.1 ELECTION ADMINISTRATION

*The activity of administering elections.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
10-06-62218 Rev. 2	<b>Ballot Status Transaction Messages and Data</b> Records relating to ballot status data sent between County voter registration and the state for the Washington election management system (VoteWA).	<b>Retain</b> for 1 year after transaction date <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
23-12-69704 Rev. 0	<b>Certification of County Election Administrator Tests</b> Completed tests for certification of county election administrators.	<b>Retain</b> for 5 years after submission of test <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR
04-02-60653 Rev. 2	<b>Certification of County Election Administrators</b> Records relating to applications for certification/renewal of certification and related materials.	<b>Retain</b> for 3 years after submission of application <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR
79-03-22078 Rev. 4	<b>Certification of Statewide Results</b> Records relating to the canvass and certification of statewide elections. Includes, but is not limited to: <ul style="list-style-type: none"> <li>• Proclamation of statewide measures;</li> <li>• Certification reports.</li> </ul>	<b>Retain</b> until after certification <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.	<b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR



**7.1 ELECTION ADMINISTRATION**

*The activity of administering elections.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
04-02-60651 Rev. 2	<p><b><i>Certification of Vote Tabulating Systems</i></b> Records relating to the certification of and supporting documents for vote tabulating systems. <i>Note: Retention based on 22-months after date of election requirement for federal election records (52 U.S.C. 20701).</i></p>	<p><b>Retain</b> for 22 months after date of election system was last used in the state <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>
05-01-60880 Rev. 3	<p><b><i>Constitutional Amendments and State Measure Legal Notices</i></b> Records relating to the legal notices that the Office of the Secretary of State is required to publish in newspapers in accordance with RCW 29A.52.330 for amendments and State measures such as referenda and initiatives. <i>Note: Retention based on 22-months after date of election requirement for federal election records (52 U.S.C. 20701).</i></p>	<p><b>Retain</b> for 22 months after date of election <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OFM</p>
79-03-22071 Rev. 3	<p><b><i>Declarations of Candidacy</i></b> Records relating to candidate filing applications for state and federal office. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Declaration of Candidacy applications;</li> <li>• Documentation of changes to candidate filing applications;</li> <li>• Withdrawal of candidacy.</li> </ul>	<p><b>Retain</b> until election is certified <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



## 7.1 ELECTION ADMINISTRATION

*The activity of administering elections.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
23-12-69705 Rev. 0	<p><b><i>Election – Administration Records – Federal Elections</i></b> Records that document the internal administration of each federal election, <b><i>where not covered by a more specific records series.</i></b> Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Certification of Ballot Mailing received from county auditors;</li> <li>• Declarations of Candidacy Filing Fee Petitions;</li> <li>• Logic and Accuracy Tests documentation.</li> </ul> <p><i>Note: Retention based on 22-months after date of election requirement for federal election records (52 U.S.C. 20701).</i></p>	<p><b>Retain</b> for 22 months after date of election <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
14-12-68718 Rev. 2	<p><b><i>Election – Administration Records – Non-Federal Elections</i></b> Records that document the internal administration of each non-federal election, <b><i>where not covered by a more specific records series.</i></b> Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Certification of Ballot Mailing received from county auditors;</li> <li>• Declarations of Candidacy Filing Fee Petitions;</li> <li>• Logic and Accuracy Tests documentation.</li> </ul> <p><i>Note: Retention based on 60-days after date of certification requirement for non-federal election records (WAC 434-262-200).</i></p>	<p><b>Retain</b> for 60 days after certification of election <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM





**7.1 ELECTION ADMINISTRATION**

*The activity of administering elections.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
19-06-69375 Rev. 0	<p><b><i>Electoral College Certifications</i></b></p> <p>Records relating to the Electoral College certification which are not submitted to Federal agencies.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• List of Electoral College participants from each party;</li> <li>• Affidavits from each party;</li> <li>• Certificates of Ascertainment;</li> <li>• Certificates of Vote.</li> </ul> <p>Excludes records covered by:</p> <ul style="list-style-type: none"> <li>• <i>Provision of Advice, Assistance or Information (DAN GS 09022);</i></li> <li>• <i>Reporting to External Agencies (Mandatory) (DAN GS 19004).</i></li> </ul>	<p><b>Retain</b> for 3 months after certification</p> <p><i>then</i></p> <p><b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>
79-03-22073 Rev. 1	<p><b><i>Minor Political Party and Independent Candidate Petitions</i></b></p> <p>Records relating to the signatures of persons who attend nominating conventions for minor party and independent candidates.</p>	<p><b>Retain</b> for 1 month after notification of validity</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>
07-08-61581 Rev. 2	<p><b><i>Precinct Returns of State-Wide Elections</i></b></p> <p>The electronic files containing vote totals by precinct, or by combination of precincts if applicable, for general elections and other election returns as directed.</p>	<p><b>Retain</b> for 10 years after end of calendar year</p> <p><i>then</i></p> <p><b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) NON-ESSENTIAL OPR</p>



**7.1 ELECTION ADMINISTRATION**

*The activity of administering elections.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
79-03-22072 Rev. 2	<p><b><i>Presidential Minor Political Party and Independent Candidate Nominating Convention Reports</i></b></p> <p>Records documenting formation of minor parties and nomination of minor party and independent candidates to public office.</p> <p>Includes, but not limited to:</p> <ul style="list-style-type: none"> <li>• Confirmation letter sent to the party or candidate;</li> <li>• Certificate of Nomination;</li> <li>• Slate of Electors;</li> <li>• Consent to Nomination;</li> <li>• Pledge of Elector’s Intent.</li> </ul>	<p><b>Retain</b> until election is certified <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>
08-05-61782 Rev. 3	<p><b><i>Presidential Primary Participants Political Party Designation</i></b></p> <p>Record of political party designation of voters who participate in the presidential nominating process of major political parties in accordance with RCW 29A.56.050 and WAC 434-219-330.</p> <p><i>Note: Retention based on requirement to remove party designation from voter registration records 60-days after certification of presidential primaries (WAC 434-219-330).</i></p>	<p><b>Retain</b> for 60 days after date of Secretary of State’s certification of the presidential primary <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



**7.1 ELECTION ADMINISTRATION**

*The activity of administering elections.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
04-02-60652  Rev. 3	<p><b><i>Review of County Procedures – Final Report/Follow-up</i></b> Final review reports of county procedures for the conducting of elections in accordance with RCW 29A.04.570 and chapter 434-260 WAC. Also includes the follow-up verification conducted 1 year after the report in accordance with RCW 29A.04.570(3) and WAC 434-260-155. Excludes records covered by <i>Review of County Procedures – Report Development (DAN 14-12-68721)</i>.</p>	<p><b>Retain</b> until subsequent final review report <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



## 7.1 ELECTION ADMINISTRATION

*The activity of administering elections.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
14-12-68721  Rev. 1	<p><b>Review of County Procedures – Report Development</b></p> <p>Records relating to conducting of reviews, special recount reviews, and development of final reports into County’s procedures for the conducting of elections in accordance with RCW 29A.04.570 and chapter 434-260 WAC.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Notices given to County Auditors and the chairs of state central committees of major parties in accordance with RCW 29A.04.570(1)(c), WAC 434-260-040, WAC 434-260-050, and WAC 434-260-060;</li> <li>• Preliminary review reports in accordance with WAC 434-260-130;</li> <li>• Draft election review reports in accordance with WAC 434-260-140;</li> <li>• Responses to draft election review reports from County Auditors and/or County Canvassing Boards in accordance with WAC 434-260-145;</li> <li>• Notes and materials submitted by the Counties;</li> <li>• Related correspondence/communications.</li> </ul> <p>Excludes records covered by <i>Review of County Procedures – Final Report/Follow-up (DAN 04-02-60652)</i>.</p> <p><i>Note: Appeal period is 30 days.</i></p>	<p><b>Retain</b> for 30 days after final report issued <i>and</i> conclusion of any appeal processes <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
19-06-69377  Rev. 1	<p><b>Special Recount Review of County Procedures – Final Report</b></p> <p>Records relating to the final special recount review reports of county procedures for the conducting of elections in accordance with RCW 29A.04.570 and chapter 434-260 WAC.</p> <p><i>Note: Retention based on 22-months after date of election requirement for federal election records (52 U.S.C. 20701).</i></p>	<p><b>Retain</b> for 22 months after date of election <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



**7.1 ELECTION ADMINISTRATION**

*The activity of administering elections.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
10-06-62220 Rev. 1	<b><i>Washington Election Management System (VoteWA) Logging Database Error Logs</i></b> Error logs posted in Washington election management system (VoteWA) logging database.	<b>Retain</b> for 1 year from transaction date <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 7.2 INITIATIVE/REFERENDUM/RECALL PETITION CERTIFICATION

*The activity of certifying initiative/referendum/recall petitions.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
23-12-69707 Rev. 0	<p><b><i>Initiative/Referendum and Other Petitions Rejected by the Secretary of State</i></b> Initiative/referendum/recall petitions filed with the Secretary of State but were rejected and a signature comparison was not conducted upon the following grounds:</p> <ul style="list-style-type: none"> <li>• Petitions do not contain the information required by RCW 29A.72.110, 29A.72.120, or 29A.72.130;</li> <li>• Petition clearly bears insufficient signatures;</li> <li>• The time within which the petition may be filed has expired.</li> </ul>	<p><b>Retain</b> for 1 year after petition is rejected <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
07-08-61580 Rev. 2	<p><b><i>Initiative/Referendum/Recall Signed Petitions</i></b> Original initiative/referendum/recall signed petitions filed with the Secretary of State in accordance with chapter 29A.72 RCW and RCW 29A.56.110-.270, provided a security copy is retained in accordance with <i>Petition Signature Checking- Initiatives/Referendum/Recall Petitions Filed and Signatures Compared by the Secretary of State (DAN 07-08-61579)</i>.</p>	<p><b>Retain</b> for 3 months after certification <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR



**7.2 INITIATIVE/REFERENDUM/RECALL PETITION CERTIFICATION**

*The activity of certifying initiative/referendum/recall petitions.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
25-04-69861 Rev. 0	<p><b><i>Initiative and Referenda Filing</i></b></p> <p>Records documenting an initiative or referendum up until a signed petition is submitted to the Secretary of State.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Selection of initiative/referendum number;</li> <li>• Ballot title and ballot measure summary;</li> <li>• Withdrawals;</li> <li>• Sponsor affidavits;</li> <li>• Incomplete filings;</li> <li>• Ballot title challenges;</li> <li>• Sample petition sheets;</li> <li>• Communications with Sponsor, the Attorney General, and the Code Reviser.</li> </ul>	<p><b>Retain</b> for 1 year after end of calendar year</p> <p><i>then</i></p> <p><b>Transfer</b> to Washington State Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OPR</p>



**7.2 INITIATIVE/REFERENDUM/RECALL PETITION CERTIFICATION**

*The activity of certifying initiative/referendum/recall petitions.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
79-03-22097 Rev. 6	<p><b><i>Initiative and Referendum Signed Petition Filing/Certification</i></b></p> <p>Records documenting the filing and certification of signed initiative/referendum petitions filed with the Secretary of State.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Filing of petition sheets containing the minimum required number of registered voter signatures filed with the Secretary of State;</li> <li>Certification or rejection of petition sheets after petition signature checking is complete.</li> </ul> <p>Excludes records covered by:</p> <ul style="list-style-type: none"> <li><i>Initiative/Referendum and Other Petitions Rejected by the Secretary of State (DAN 23-12-69707);</i></li> <li><i>Initiative/Referendum/Recall Signed Petitions (DAN 07-08-61580);</i></li> <li><i>Petition Signature Checking – Initiatives/Referendum/Recall Petitions Filed and Signatures Compared by the Secretary of State (DAN 07-08-61579).</i></li> </ul>	<p><b>Retain</b> for 22 months after general election certification <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>





**7.2 INITIATIVE/REFERENDUM/RECALL PETITION CERTIFICATION**

*The activity of certifying initiative/referendum/recall petitions.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
07-08-61579 Rev. 4	<p><b><i>Petition Signature Checking – Initiatives/Referendum/Recall Petitions Filed and Signatures Compared by the Secretary of State</i></b></p> <p>Records documenting accepted and rejected signatures from any initiative/referendum and other petitions that filed signatures and a comparison that was conducted according to statute.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Records in the Secretary of State’s Initiative Checking Database.</li> <li>Imaged initiative petition sheets (security copy)</li> </ul> <p><i>Note: Retention based on 22-months after date of election requirement for federal election records (52 U.S.C. 20701).</i></p>	<p><b>Retain</b> for 22 months after date of election <i>or</i> 22 months after date of rejection of petition due to insufficient valid signatures <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
19-06-69376 Rev. 0	<p><b><i>Recall of Public Officials</i></b></p> <p>Records documenting the filing of recall charges with the Secretary of State, court records, recall petitions and other related documents.</p>	<p><b>Retain</b> for 6 months after last document received or after petitions are submitted <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.</p>	<b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR



**7.3 REDISTRICTING**

*The activity of redrawing legislative and congressional district boundaries.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
83-01-31083 Rev. 2	<p><b>Census Mapping Project</b></p> <p>Records documenting development of census and election information for redistricting by the legislature under direction of the Secretary of State.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Precinct-level electoral data;</li> <li>• Precinct maps.</li> </ul>	<p><b>Retain</b> for 12 years after end of calendar year <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>
92-06-50725 Rev. 3	<p><b>Redistricting Commission Files</b></p> <p>Records created by the Redistricting Commission pertaining to the redrawing of legislative and congressional district boundaries. Based on the previous federal census done every 10 years.</p>	<p><b>Retain</b> for 4 years after close of the Commission <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



## 7.4 VOTER REGISTRATION

*The activities associated with the registration of voters.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
09-11-62155 Rev. 2	<b>Cancelled Voter Registration</b> Records relating to each cancelled voter registration in the VoteWA election management system.	<b>Retain</b> for 3 years after end of calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
79-03-22094 Rev. 4	<b>Current Voter Registration Records</b> Records relating to voter registration records which provide verification of the signatures on initiatives, referenda, recall petitions, and nomination petitions.	<b>Retain</b> until update received <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
11-08-62775 Rev. 2	<b>Department of Licensing Data for VoteWA and ERIC</b> Records relating to data that is provided for disaster recovery and for supplying the ERIC Project (Electronic Registration Information Center).	<b>Retain</b> for 3 months after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR
23-12-69706 Rev. 0	<b>Electronic Copies of Voter Registration Documents</b> Voter registration documents that are sent to the Secretary of State’s Office electronically and forwarded to county election offices. Includes, but is not limited to: <ul style="list-style-type: none"> <li>• Voter registration forms;</li> <li>• Cancellation forms;</li> <li>• Name change forms;</li> <li>• Address change forms.</li> </ul>	<b>Retain</b> for 2 years after form received or provided, <i>whichever is later</i> <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 7.4 VOTER REGISTRATION

*The activities associated with the registration of voters.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
04-12-60842 Rev. 1	<b>Motor Voter Records</b> A list of people provided by the Department of Licensing who have registered to vote when visiting a DOL office.	<b>Retain</b> for 6 years after end of calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR
79-03-22093 Rev. 2	<b>New Registration Control Records</b> Records documenting receipt and handling of voter registration records in VoteWA.	<b>Retain</b> for 6 years after end of calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR
07-08-61576 Rev. 2	<b>Voter Registration Database (VoteWA)</b> The statewide VoteWA system maintains the official state voter registration list per requirements of the Help America Vote Act. VoteWA interfaces with the Department of Licensing, Department of Health, Department of Corrections, and other state databases. <i>Note: Between December 15th and December 30th of each year, a copy of the database including the transaction log and user manual(s) is sent to Digital Archives for historical preservation.</i>	<b>Retain</b> for 6 years after end of calendar year <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR



## 7.4 VOTER REGISTRATION

*The activities associated with the registration of voters.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
11-02-62428 Rev. 2	<p><b><i>Voter Registration Notifications</i></b> Records relating to all Voter Notifications for Voter Registration. Includes, but not limited to:</p> <ul style="list-style-type: none"> <li>• Federal notification;</li> <li>• ERIC notification (Electronic Registration Information Center);</li> <li>• Correspondence with regular and felon voters;</li> <li>• Undeliverable returned envelopes from the mail.</li> </ul>	<p><b>Retain</b> for 2 years after end of month <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
11-08-62774 Rev. 2	<p><b><i>Voter Registration Source Materials</i></b> Records relating to Voter Registration Source Materials used in VoteWA. Includes, but is not limited to data obtained from:</p> <ul style="list-style-type: none"> <li>• The Department of Corrections;</li> <li>• The Department of Health;</li> <li>• The Department of Licensing;</li> <li>• The Social Security Death Index;</li> <li>• The Washington State Patrol;</li> <li>• The Electronic Registration Information Center (ERIC).</li> </ul>	<p><b>Retain</b> for 3 years after date of document <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
07-08-61577 Rev. 1	<p><b><i>VoteWA Public Extract</i></b> The VoteWA monthly public extract an electronic version of the official state voter registration database and is available to the media, political parties, and other members of the public. Only data that is public by law is provided.</p>	<p><b>Retain</b> for 1 year after end of month <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 8. LEGACY WASHINGTON

The function of documenting life stories of influential people in Washington State through the production of biographies and oral histories.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
99-01-59004 Rev. 2	<b><i>Interviewee Subject Files</i></b> All documents (whether electronic, paper, or audio) pertaining to current interviewees.	<b>Retain</b> for 4 years after date of publication <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.	<b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OFM



## 9. OFFICIAL FILINGS

The function of managing documents that are required to be filed/deposited with the Secretary of State.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
14-12-68719 Rev. 0	<p><b>Official Filings</b></p> <p>Documents required by statute to be filed with the Secretary of State which are not covered by a more specific records series.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Oaths of Office;</li> <li>• Official bonds of state officers filed with the Secretary of State in accordance with RCW 42.08.100;</li> <li>• Certificates of Manual Signatures filed with the Secretary of State in accordance with RCW 39.62.020;</li> <li>• Adoption of municipal code form of government by cities and towns filed with the Secretary of State in accordance with RCW 35A.02.040;</li> <li>• Notices of incorporation of cities and towns filed with the Secretary of State in accordance with RCW 35.02.130;</li> <li>• Conservation Districts creation/amendment documentation filed with the Secretary of State and certificates issued by the Secretary of State in accordance with RCW 89.08.160 and RCW 89.08.170;</li> <li>• Orders establishing Mosquito Control Districts filed with the Secretary of State in accordance with RCW 17.28.090;</li> <li>• Joint Municipal Utility Services Agreements (and amendments) filed with the Secretary of State in accordance with RCW 39.106.030.</li> </ul>	<p><i>Upon receipt</i></p> <p><b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) NON-ESSENTIAL OPR</p>



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
14-12-68720 Rev. 0	<p><b><i>Records of the State Legislature</i></b> Documents filed with the Secretary of State by the State Legislature. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Session laws;</li> <li>• Concurrent and floor resolutions;</li> <li>• Joint memorials and resolutions (including proposed state constitutional amendments and the approval of proposed amendments to the federal constitution).</li> </ul>	<p><i>Upon receipt</i> <b>Transfer</b> to Washington State Archives for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) NON-ESSENTIAL OPR</p>





## 10. PRODUCTIVITY BOARD ADMINISTRATION

The function of evaluating and rewarding suggestions for improving productivity in state government.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
03-11-60607 Rev. 1	<b><i>Productivity Board Section Subject Files</i></b> Files on the activities and issues of concern to the Productivity Board and its administration. May contain budget and Employee Recognition Event information, press releases, allotment schedules, and relevant correspondence.	<b>Retain</b> for 4 years after end of calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 11. SALARY COMMISSION MEMBERSHIP SELECTION

The function of selecting citizens to serve on the Washington Citizens' Commission on Salaries for Elected Officials.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
14-12-68722 Rev. 0	<p><b><i>Salary Commission Membership Selection</i></b></p> <p>Records relating to the selection by lot of citizens to serve on the Washington Citizens' Commission on Salaries for Elected Officials in accordance with RCW 43.03.305 and chapter 434-209 WAC.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Records relating to the conduct of the selection by lot;</li> <li>Response forms received from citizens;</li> <li>Certified list of names forwarded to the Governor.</li> </ul>	<p><b>Retain</b> for 6 years after completion of selection <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



## 12. STATE LIBRARY SERVICES

The function of providing library services which are not covered by the *State Government General Records Retention Schedule*.

See *State Government General Records Retention Schedule* for additional records relating to library services.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
89-04-43906 Rev. 3	<b>Branch Library Administrative Files</b> Records of monthly reports and historical and/or background information on the specific branch library and its function.	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OFM
04-09-60781 Rev. 1	<b>Copyright/Deed of Gift File</b> Records of correspondence and reference materials relating to copyright issues.	<b>Retain</b> for 10 years after end of calendar year <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.	<b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR
04-09-60785 Rev. 1	<b>E-Rate Files</b> Records of the Technology Plan which every library is required to submit to the State Library to be eligible for federal grants. Related material includes Application Forms, CIPA Internet Safety Policy, and Letter of Agency. Also included are forms 470, 471, 472, and 486, and correspondence between the State Library, Universal Service Administrative Co. (USAC), and public libraries.	<b>Retain</b> for 10 years after end of federal fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
04-09-60786 Rev. 1	<b><i>Librarian Certification Records</i></b> Records of every librarian certified, or denied certification, by the State Library.	<b>Retain</b> for 10 years after end of calendar year <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.	<b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR
93-11-53234 Rev. 5	<b><i>Library Council of Washington</i></b> May include records of agendas, meeting notes/minutes, applications, appointments, and correspondence.	<b>Retain</b> for 9 years after end of federal fiscal year <i>then</i> <b>Transfer</b> to Washington State Archives for permanent retention.	<b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR
04-09-60784 Rev. 3	<b><i>Library Services and Technology Act (LSTA) Files</i></b> Records of all activities funded by LSTA. Includes, but is not limited to: <ul style="list-style-type: none"> <li>• Projects, grants, workshops;</li> <li>• Institute of Museum and Library Services (IMLS) correspondence;</li> <li>• Workshops in Library Leadership (WILL);</li> <li>• Annual Reports;</li> <li>• Continuing Education Grants;</li> <li>• 5-Year Plans;</li> <li>• 5-Year Evaluations.</li> </ul>	<b>Retain</b> for 9 years after submission of annual report <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR



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DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
04-11-60810 Rev. 2	<p><b>Reference Requests</b></p> <p>Records relating to reference queries received by the State Library from the general public and government agencies.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>Records documenting reference questions and answers.</li></ul>	<p><b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



### 13. LEGACY RECORDS

This section covers records no longer being created or received by the Office of the Secretary of State which have yet to reach their minimum retention period.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
96-02-56517 Rev. 4	<p><b><i>Employee Suggestion and Teamwork Incentive (TIP) Program</i></b></p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Correspondence regarding evaluation, adopts, non-adopts, ineligible, duplicates, further developments, and multiple agency suggestions;</li> <li>• Applications;</li> <li>• Quarterly reports;</li> <li>• Final report;</li> <li>• Photographs.</li> </ul>	<p><b>Retain</b> for 10 years after final board action <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>



## GLOSSARY

### ***Appraisal***

The process of determining the value and disposition of records based on their administrative, legal, and fiscal use; their evidential and informational or research value; and their relationship to other records.

### ***Archival (Appraisal Required)***

Designation for public records that may possess enduring legal and/or historical value and must be appraised by the Archives. Such records are to be evaluated, sampled, and weeded according to archival principles by Archives staff. Records appraised as non-archival may be destroyed after their retention has been met.

### ***Archival (Permanent Retention)***

Designation for public records that possess enduring legal and/or historical value and must not be destroyed. State government agencies must transfer these records to the Archives at the end of their minimum retention period. Local government agencies must either transfer these records to the Archives or retain and preserve them according to archival best practice until transferred to the Archives. Other than removing and disposing of duplicates, the Archives will not sample, weed, or otherwise dispose of records with this designation.

### ***Disposition***

Actions taken with records when they are no longer required to be retained by an agency. Possible disposition actions include transfer to the Archives and destruction.

### ***Disposition Authority Number (DAN)***

Control number for a specific records series in a retention schedule that authorizes a retention period and disposition action for records belonging to that series.

### ***Essential Records***

Records needed to respond to, and/or perform critical operations during/after, a disaster or emergency. They need to be protected through backup or enhanced storage. (RCW 40.10.010)

### ***Local Records Committee***

Committee established by RCW 40.14.070 to review and approve disposition of local government records through records retention schedules. The Committee's three members include the State Archivist and one representative each from the Office of the Attorney General and the State Auditor.



### ***Non-Archival***

Designation given to public records that do not possess sufficient historical value to be designated as “Archival.” Agencies must retain these records for the minimum retention period specified by the appropriate current records retention schedule. Agencies should destroy these records after their minimum retention period expires, provided the records are not required for litigation, public records requests, or other purposes required by law.

### ***Non-Essential Records***

Public records which are not required in order for an agency to resume its core functions following a disaster, as described in chapter 40.10 RCW.

### ***OFM (Office Files and Memoranda)***

Public records not defined and classified as official public records in RCW 40.14.010 and other documents or records as determined by the records committee to be office files and memoranda.

### ***OPR (Official Public Records)***

Public records necessary to document transactions relating to public property, public finances, and other agency business, or records determined by the records committee to be official public records.

### ***Public Records***

Records that have been created or received by any government agency in Washington State in connection with the transaction of public business regardless of physical form or characteristics.

### ***Records Series***

A group of records performing a specific function, which is used as a unit, filed as a unit, and may be transferred or destroyed as a unit. A records series may consist of a single type or a number of different types of documents that are filed together to document a specific function.

### ***State Records Committee***

Committee established by RCW 40.14.050 to review and approve disposition of state government records. Its four members include the State Archivist and one representative each from the Office of the Attorney General, Office of the State Auditor, and the Office of Financial Management.





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